

# DOE PERFORMANCE MONITORING: REPORTING TO ALL INTERESTED PARTIES

EB 57: Bonn, Germany, 12-14 October 2010



## Background

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- The Board at EB49 agreed on a policy framework to monitor performance and address non-compliance by DOEs in a systematic manner.
- The CMP at its fifth meeting, through its decision 2/CMP5, paragraph 18, requested the Board to improve access to information on the performance of DOEs, including through improved reporting on performance, capacity and accessibility of services of these entities.
- EB 51 have adopted an implementation plan for the framework that should:
  - Provide DOEs with feedback on their performance;
  - Support the Board and the CDM Accreditation Panel (CDM-AP) in decision making.



## Aim of the framework

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- **Continuously monitor and improve the performance of DOEs**
- **Ensure that DOEs comply with the requirements and meet the standards established by the Board for DOEs**
- **Provide data that would help DOEs to improve their system**
- **Assist the Board in its decision making process.**



## Report to DOEs

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- **Providing feedback on the DOE performance with relevant information that would allow them to conduct a root-cause analyses of the deficiencies identified;**
- **Informing DOEs on their performance and level of their indicators so that they are aware whether thresholds are reached or about to be reached.**
- **Informing DOEs on whether they are subject to any of the actions specified in the framework and the implementation plan.**



**Provide tools for an informed decision making as the accreditation procedure relies in many of its steps (e.g. definition of number and nature of performance assessment, assessment of non-central sites, and spot check) on the results of the DOE performance monitoring. Therefore it is very important that the CDM-AP has all relevant information on the DOE performance and areas on the DOE system that need to be addressed.**



**The Board as final decision maker shall be provided with all relevant data for its decision making. Such data also should allow system wide improvement via identification of issues where guidance or requirements lack clarity or are non existent.**

## Report to the public

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- **Information on the overall performance of DOEs is to be made public including their performance indicators.**
- **It is suggested not to disclose specific issues and areas where the DOE is underperforming in order to maintain confidentiality of the DOE data.**



## Monitoring periods

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- **The secretariat proposes to continue with six-month monitoring periods. This duration would ensure submission of a significant number of projects allowing the gathering of sufficient data for a meaningful analysis especially for small DOEs that constitute the majority of DOEs.**

## Frequency of reporting

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- **Preliminary reports on DOE performance shall be issued three months after the end of each monitoring period. This would ensure having sufficient number of projects for which a final decision was made by the Board and a significant number of DOEs eligible for monitoring.**
- **Updated reports of the same monitoring period shall be issued at three monthly intervals after the first preliminary report is issued and until all submissions have been finalized. This would provide a more realistic picture on the performance of the DOE as the indicators would be stabilising and reflecting the real performance of the DOE for a given monitoring period only as a significant majority of submissions have been finalized.**
- **In addition to the regular reports to Board on individual DOE performance, a report containing a more detailed analysis of the issues arising from the DOE performance especially those identifying shortcomings in the CDM-requirements, procedures and guidance would be provided to the Board on a bi-annual basis.**



## Expected outcome from EB

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**The Board may wish to:**

- **Take note of the information note and the templates annexed;**
- **Approve the template for reporting to the public annexe;**
- **Approve the duration of monitoring periods and frequency of reporting.**



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**Thank You.**

