

DOE/AE Forum | *Werner Betzenbichler* | *February 2011*

EB-59: Relations with Designated Operational and Applicant Entities

Agenda

- Introduction of Chair of the DOE/AE Forum
- Establishment of DIA
- Specific Inputs by DOEs and DIA to EB-59
- Priorities in 2011 for DOE Forum

DIA

- Designated Operational Entities and Independent Entities Association
- A not-for-profit organization with a limited amount of potential members (DOEs, IEs, Applicant Entities)
- Recently in the registration process in Geneva, Switzerland
- Permanent staff in the operations office in Germany

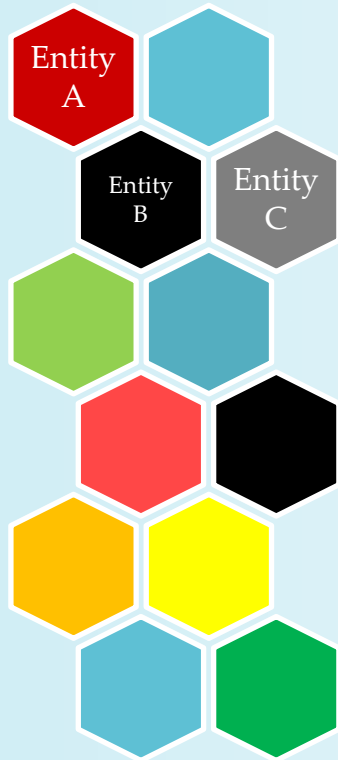
DIA - Purpose

- To be an independent, not-for-profit organization **dedicated to the development and establishment of effective processes and criteria** for, and related to, the determination, validation and verification of emission reduction and sequestration projects and **to represent the members at relevant bodies** that administer the various GHG programmes that accept UNFCCC accredited bodies

DIA will

- be a forum for communication and mediation between members and UNFCCC bodies, governments, non-governmental and business associations and the general public
- be a forum for sharing experiences
- contribute pro-actively to the further development of a reliable legal basis for validation and verification services

DIA and the DOE/AE Forum (1)



DOE/AE Forum

- all entities are members by nature
- no obligation to contribute to any activities
- Chairman carries most of the workload and costs
- main channel of communication with EB and Secretariat

DIA and the DOE/AE Forum (2)



DIA

- Voluntary membership
- Commitment of resources (fees and participation in working groups)
- Research work & drafting by permanent staff
- Consolidation of member's input
- Forum remains main channel of communication with EB and Secretariat
- DIA members utilize DIA resources when appointing the Chair

Specific Inputs

Two public calls for input

- "Tool for baseline scenario identification and baseline emission calculations"

DIA input which in conclusion recommends to

- reconsider the introduction of the "tools" at this stage
- consider and clearly set out the purpose of the documents, whether to be used as methodological tool or as guidance for methodology development
- engage in further consultation with stakeholders to establish if and how the existing baseline tool should be improved

Specific Inputs (2)

- "Guidelines on the assessment of investment analysis"

DIA input which

- points to perceived difficulties in applying such a procedure in developing esp. LDC countries
- highlights some paragraphs which require further improvement
- requests its integration into the VVM
- requests to clarify to which extent DOEs can rely on statements of other Third Parties (accounting firms)

Specific Inputs (3)

One unsolicited letter by DIA on the necessity of on-site visits in validations and verifications

- Diverging interpretations of relevant guidance text
- Especially with regard to the need for on-site visits by technical experts
- The input request guidance by
 - providing a clear statements on interpretable issues;
 - a clearer distinction regarding first and consecutive verifications
 - the consideration of the development and approval of verification plans as part of the PoA registration.

Specific Inputs (4)

Input by TÜV Nord via the DOE Forum

- PoA procedures allow validation and verification of a PoA by the same DOE under certain conditions
- These “certain conditions” are not yet defined
- Clarification is requested

Input by DNV via the DOE Forum

- Experienced opportunities of correction minor issues in the completeness check within 24 hours
- Request to formalize such a procedure, best with a deadline of 48 hours (not counting weekends)

Specific Inputs (5)

Input by DNV via the DOE Forum

- Currently no process to request approval of design changes to a PoA or for revising the monitoring plan of PoAs
- Request to adopt existing procedures for standard projects

Input by DNV via the DOE Forum

- Changes in MP or in project activity need submission by verifying DOE
- Request to allow corresponding assessment before starting verification (by any DOE)
- Issue of risk mitigation for PPs and DOEs

Specific Inputs (6)

Input by AENOR via the DOE Forum

- Request to amend the Accreditation Standard V2 with regard to Annex D (technical areas), para 4, footnote 11 as follows.
 - (a) Two numbers of validation/verification activities as Assessor Under-Training, accompanying a validator/verifier **technical expert** already qualified for the technical area within the sectoral scope in question;*
- No negative impact on qualification assessment is expected
- Should have positive impact on the availability of auditing resources, especially by accelerating the growth (human resource development) of smaller entities

Specific Inputs (7)

Input by SGS via the DOE Forum

- Missing transparency on timelines for the review of responses to a request for review under new procedures
- Suggestion that DOE and PP shall be notified by email of the date of assessment of the response (similar to completeness check and information and reporting check)

Input by SGS via the DOE Forum

- Inconsistent application of a “requirement” to revise F-CDM-REG or F-CDM-REQCERTS forms during resubmissions in completeness checks
- Clarification is requested

Priorities in 2011 for DOE Forum

- Contribution to a workable solution on the issue of significant deficiencies and excess issuance
- Establishment of close interaction with accreditation panel, e.g. on regular basis at the beginning of each AP meeting
- Contribution to the update of the VVM or creation of the VV Standard
- Establishment of a business environment which enables to meet the demands in 2012

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Chair of the DOE Forum
on behalf of TÜV NORD

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