



## Annex 26

# CLARIFICATIONS REGARDING THE “PROCEDURES FOR REGISTRATION OF A PROGRAMME OF ACTIVITIES AS A SINGLE CDM PROJECT ACTIVITY AND ISSUANCE OF CERTIFIED EMISSION REDUCTIONS FOR A PROGRAMME OF ACTIVITIES”

## (Version 01)

### I. Background

1. The latest revision of the “Procedures for registration of a programme of activities as a single CDM project activity and issuance of certified emission reductions for a programme of activities” was made by the clean development mechanism (CDM) Executive Board at its fifty-fifth meeting. In support of its work related objective 4 of the CDM management plan 2011 and in response to paragraph 4 of decision 3/CMP.6, the Board launched a call for public inputs on programme of activities (PoAs) at its fifty-ninth meeting. A summary of the inputs received is contained in annex 7 to the annotated agenda of the Board’s sixtieth meeting.

2. Following consideration of the summary of the inputs, the Board agreed on the need to immediately clarify a number of concerns raised. Due to the short term workprogramme to comprehensively revise the regulatory framework related to PoAs by its sixty-third meeting, the Board agreed to issue these clarifications in the form of this stand-alone document rather than as a revision to the existing procedures. The Board will incorporate these clarifications when the revision takes place.

### II. Clarifications

3. The Board agreed that the “Guidelines for the demonstration and assessment of prior consideration of the CDM” do not apply to PoAs, as at present it is expected that no component of the programme will commence prior to the start date of validation. However, recognising that this may indicate difficulties, the Board requested the secretariat to provide options for further defining the start date of a programme and facilitating the prompt start of mitigation activities through programmes.

4. The Board clarified that a full additionality assessment is not required in the context of component project activities (CPA), rather the confirmation of additionality for CPAs should be conducted by means of the eligibility criteria.

5. With regard to addressing excess issuance of certified emission reductions (CERs), the Board clarified that the current procedures for PoAs only relate to excess issuance of CERs resulting from erroneous inclusion of CPAs. Significant deficiencies in validation and verification reports that results in the issuance of an excess amount of CERs will be dealt via the same procedure being developed for all CDM project activities.

6. The Board clarified that the boundary of the programme can be amended post-registration to include an additional Host Party provided the following three conditions are met:

- (a) The existing registered PoA design document (POA-DD) is revised to reflect the changes, in particular, the eligibility criteria for inclusion of CPAs;



- (b) A designated operation entity (DOE) confirms that the baseline established in the POA-DD is applicable to the extended programme boundary; and
  - (c) The DNA of the new Host Party issues a letter of approval for the programme and a letter of authorization for the co-ordinating and managing entity.
7. The Board agreed that the following procedures can be applied to PoAs:
- (a) “Procedures for requests to the Executive Board for deviation from an approved methodology”;
  - (b) “Procedures for revising monitoring plans in accordance with paragraph 57 of the modalities and procedures for the CDM”;
  - (c) “Procedures for requests for deviation prior to submitting request for issuance”.
8. The Board further agreed that the “Procedures for notifying and requesting approval of changes from the project activity as described in the registered PDD” do not apply to PoAs pending further evaluation of the implications of them.

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**History of the document**

<b>Version</b>	<b>Date</b>	<b>Nature of revision</b>
01	EB 60, Annex 26 15 April 2011	Initial Adoption
<b>Decision Class:</b> Regulatory <b>Document Type:</b> Clarification <b>Business Function:</b> Registration, Issuance		