

Agenda item 5 c

Paragraph 81 of the annotated agenda

Measures for enhancing communication with stakeholders

CDM EB 60

Bangkok, Thailand, 11-15 April 2011



UNFCCC secretariat
SDM programme

Background: CMP requests

- **Decision 2/CMP.5, para. 8 and Decision 3/CMP.6, para. 21:**

“Requests the Executive Board to enhance its communication with project participants and stakeholders, through the establishment of modalities and procedures for direct communication between the Executive Board and project participants in relation to individual projects...”

- **Decision 3/CMP.6, para. 22:**

*“Requests the Executive Board **to develop and implement modalities and procedures with a view to enhancing direct communication with stakeholders and project proponents in relation to issues related to registration, issuance and methodologies work streams**; these modalities and procedures should provide for:*

(a) Direct communication that can be initiated by the secretariat, as needed, with project proponents, on issues related to registration, issuance and methodologies work streams;

(b) Stakeholder consultations on general issues, and the publication of the outputs thereof;

(c) Intensified use of public calls for input in relation to major regulatory decisions, including the possibility to make submissions”



Background: CMP requests

- **Decision 3/CMP.6, para. 59:**

Requests the Executive Board to ensure that editorial errors which will not affect the assessment of compliance with validation and verification requirements do not lead to a determination that the request for registration or issuance is incomplete, while ensuring environmental integrity.

- **Decision 3/CMP.6, para. 17**

Requests the secretariat to further increase the transparency of its work by, inter alia, publishing on the UNFCCC CDM website information related to the current and historic status regarding the processing of case-specific matters and methodologies, including requests for clarification, deviation and revision and for changes to project design documents.

Requested the secretariat to:

- *Launch a call for public input on areas and means for direct communication with the Board and the secretariat;*

Define categories of relevant stakeholders and prepare an analysis of the areas and sub-areas for direct communication with each stakeholder categories within the registration, issuance and methodology processes and an analysis of the need for establishment of new forums, recognizing representatives of stakeholder groups and/or exploring alternative ways for facilitating communication on policy issues;

Develop draft modalities and procedures for direct communication with relevant stakeholders for consideration of the Board at a future meeting, taking into account the input received by the call and the analysis prepared by the secretariat.



General Objectives

- ***Greater efficiency in the operation of the CDM:***
 - *support the Board in taking decisions in a more efficient and effective manner,*
 - *well informed stakeholders are better positioned to comply with the expected quality standards*
- ***Improved objectivity, clarity and integrity in the CDM:***
 - *support the Board in identifying areas within the regulatory framework where revisions or additions would enhance objectivity and/or ensure environmental integrity;*

Proposed scope of procedures for communication

- ***Policy and procedural related matters***
- ***Case specific matters***

Communication on policy issues



Communication initiated by the Board or its support structure

Objectives

- *To seek stakeholders input regarding difficulties in the application of existing rules;*
- *To seek suggestions for areas requiring additional guidance within the regulatory framework with the aim of improving the objectivity and broadened applicability of rules and requirements;*
- *To ensure that actors within the CDM process understand the rules and regulations established by the Board.*

Means

- *Interaction with DNAs*
- *Interaction with AEs/DOEs*
 - Board interaction with AEs/DOEs
 - CDM-AP interaction with AEs/DOEs
 - Secretariat interaction with AEs/DOEs
- *Interactions including other stakeholders*
 - Targeted workshops and/or call for input
 - System wide consultation and calibration



Communication initiated by stakeholders

Objectives

- *To provide stakeholders with a forum where they can communicate to the regulator their views on rules, regulations and their implementation;*
- *To provide stakeholders with channels for asking clarification and enhancing their understanding of CDM rules and regulations and providing feedback.*

Means

- *Communication initiated by AEs/DOEs*

Mechanisms be established to receive and log all non-case specific submissions from AEs/DOEs

- *Communication initiated by DNA*

Interface similar to the DOE submissions interface for DNAs to submit their requests on non-case specific matters

- *Communication initiated by project participants and civil society*

Representations to the Board

Communication on case specific issues



Objectives

- *To provide information on status of case specific submissions in order to ensure transparency of the processing;*
- *To allow better understanding by stakeholders of issues raised in a case specific submissions;*
- *To allow better understanding of stakeholders of the rational behind the Board's decisions on case submissions.*

Means of communication

- *Disclosure of case specific submissions status*
 - *status of all case specific submissions will be made available in the UNFCCC website including an indication of the next step and a tentative date of its performance in case the timelines are not specified in the procedures.*
 - Secretariat undertake an analysis of all existing procedures and identify steps of the processes where no clear timelines are provided and make a recommendation to the Board accordingly for the revision of the relevant procedures.

- *Communication with the Board and its support structures in case specific issues (cf table)*
 - *Initiated by the Secretariat*
 - *Initiated by the DOE/PP.*

Establishment of formal communication channel with PPs

- *Establish a project participant forum supported directly by the secretariat;*
- *Recognise existing organizations through means of placing conditions on their representations.*

Expected outcome from the Board

- *Consider and provide further input and guidance the means and modalities for enhancing communication with stakeholders that are presented in this concept note.*
- *Agree to the objectives of this work and objectives different types of communication,*
- *Agree to the scope of the areas of interactions,*
- *Agree on further mechanisms for interactions with stakeholders:*
 - i. Interaction of the Board with the DNA forum at two meeting each year*
 - ii. Interaction of DOE forum with the CDM-AP at 2 meetings a year and upon request of one of the parties*
 - iii. Interaction between the methodology panel, A/R and SSC working groups at two meetings a year.*
 - iv. Establishing a Project Participants Forum or recognizing existing organisations as representatives of stakeholders*

Expected outcome from the Board

- *Agree on the recommendations below:*
 - i. *The use of call for input and workshops in more systematic and structured way;*
 - ii. *Agendas of events organized by the secretariat to be shared with stakeholders for input;*
 - iii. *To direct interaction with DOEs to issues for which the Board want to have views of DOEs without excluding issues that the DOE forum wishes to raise for the consideration of the Board*
 - iv. *New interface for submission from DNAs to the Board with the option of ensuring confidentiality on a DNA request*
 - v. *Change the name of Unsolicited submissions to "Representations", restrict it to policy and general issues and ensure that response is given to stakeholders in a timely manner.*
- *Agree on a general principle that secretariat respond directly to stakeholders wherever possible. Only issues that require attention or further guidance of the Board or its panels and working group shall be brought for their consideration*

Expected outcome from the Board

- *Agree on the proposed modalities and channels of communication within the registration, issuance and methodologies processes as proposed.*
- *Consider which areas of the stakeholders input that were not covered in this proposal to include in the draft procedures for communication with stakeholders.*
- *Request the secretariat to draft a procedure for direct communication with stakeholders in line with this document and the outcome of the Board's discussions.*