

Agenda item 5 c

Paragraph 50 of the annotated agenda, Annex 9

DRAFT MODALITIES AND PROCEDURES FOR DIRECT COMMUNICATION WITH STAKEHOLDERS

CDM EB 62

Marrakesh, Morocco, 11-15 July 2011



BACKGROUND

- [Decision 2/CMP.5](#) requested the Board to enhance communication with PPs and stakeholders regarding individual projects
- [Decision 3/CMP.6](#) requested the Board to enhance direct communication with PPs and stakeholders:
 - Communication that can be initiated by the secretariat on cases
 - Stakeholder consultations on general issues
 - Intensified use of public calls on major regulatory decisions
- [Decision 3/CMP.6](#) requested the Board to ensure editorial errors do not lead to incompleteness
- [Decision 3/CMP.6](#) requested the secretariat to increase the transparency on the status of case-specific information



BACKGROUND (cont.)

- [CDM-MAP 2011](#) lists direct communication procedure as a deliverable
- [EB 60](#) considered the information note on measures to enhance communication with stakeholders prepared by the secretariat, and requested the secretariat to draft a procedure in line with this information note

DRAFT PROCEDURE: OBJECTIVES

- Support the Board and support structure in identifying areas in the CDM regulatory framework for enhancing objectivity and clarity, and/or ensuring environmental integrity
- Allow the Board and support structure to obtain relevant information at appropriate moments
- Enhance the stakeholders' understanding of CDM rules
- Ensure transparency

DRAFT PROCEDURE: KEY FEATURES

- Two main areas:
 1. Communication on policy matters
 - Elaborates detailed steps for communication
 - Grouped by who initiates and by stakeholder group
 2. Communication on case-specific issues
 - Sets general principles
 - Detailed steps and modalities will be included in relevant operational procedures

COMMUNICATION ON POLICY MATTERS

1. Communication initiated by the Board and support structure
 - Board/secretariat ↔ DNAs
 - Global/regional DNA forum meetings (No. of meetings to be decided in MAP)
 - Interaction with global DNA forum Chair at EB meetings (2 times a year)
 - Board/CDM-AP/secretariat ↔ AEs/DOEs
 - Interaction at EB meetings (every meeting)
 - Interaction at CDM-AP meetings (2 times a year)
 - Board/support structure ↔ stakeholders
 - Interaction at EB meetings (every meeting)

COMMUNICATION ON POLICY MATTERS (cont.)

1. Communication initiated by the Board and support structure (cont.)
 - Board/support structure ↔ stakeholders (cont.)
 - Calls for input, targeted workshop (when preparing new/revised regulatory documents having significant impact on stakeholders)
 - System-wide consultation workshops (round tables, Joint Coordination Workshop) (No. of workshops to be decided at the beginning of year)

COMMUNICATION ON POLICY MATTERS (cont.)

2. Communication initiated by stakeholders

- The secretariat shall directly respond to queries wherever possible
- AEs/DOEs ↔ Board/support structure
- DNAs ↔ Board/support structure
- Project participants/civil society ↔ Board/support structure
 - Request for clarification/guidance or feedback through dedicated interface
 - Make responses available to all AEs/DOEs, DNAs, or public unless confidentiality requested

COMMUNICATION ON CASE-SPECIFIC ISSUES

1. Disclosure of case-specific submissions status
 - On UNFCCC website
2. Communication with the Board/support structure

Principles

- Minor editorial issues shall not lead to rejection of submissions
- The secretariat shall initiate communication prior to decision-making where appropriate
- DOE/PPs shall be given an opportunity to initiate communication with the secretariat to clarify issues raised

Detailed modalities shall be included in relevant operational procedures

IMPLICATIONS OF PROCEDURE

1. Consolidates, elaborates and formalizes all existing practices of communication with stakeholders
2. Introduces new communication modalities, e.g.:
 - Interaction with global DNA forum Chair at EB meetings
 - Inviting stakeholders commenting on the annotated agenda of EB meetings
 - Publication of summary of inputs received from call for input and workshop
 - Non-case specific requests for clarification/guidance or feedback from stakeholders through dedicated interface
3. Mandates the communication with stakeholders on case submissions at appropriate timing

EXPECTATIONS FROM EB 62

- Consider the draft and adopt, as appropriate
- Take note that detailed steps and modalities will be included in relevant operational procedures
- Decide to implement the procedure:
 - Starting with currently implementable provisions considering the secretariat's human resources and IT system
 - Followed by those provisions requiring appropriate secretariat's human resources allocation and establishment of IT system