



## Annex 1

### WORKPLAN OF THE CDM ACCREDITATION PANEL 2011

(Version 02.0)

#### I. Background

1. The Accreditation Panel (hereinafter referred to as the CDM-AP) is established under the Executive Board (hereinafter referred to as the Board) to assist it in the performance of its functions concerning accreditation issues. The mandate of the panel is determined by its terms of reference, general guidelines for panels / working groups, the rules of procedure of the Board, and additional guidance provided by the Board.

2. This work programme of the panel for 2011 strengthens the capacity of the CDM-AP to support the Board and has been developed within the context of the CDM Management Plan 2011 (CDM MAP 2011).<sup>1</sup> It provides details of the activities, expected outputs and timelines associated with each related CDM MAP 2011 objective.

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<sup>1</sup> “CDM management plan 2011”, EB 59 report, Annex 17.

**II. Work programme**

<b>CDM MAP objective / deliverable<sup>1</sup></b>	<b>Specific issue</b>	<b>Activities</b>	<b>Expected outputs</b>	<b>Status</b>	<b>Planned finish (EB meeting to consider the output)</b>
Appendix 1 / On-going supportive activities; and 1 a) / Quality assessment of compliance to CDM requirements relating to project and entity submissions	New application for accreditation	Consideration of new applications for accreditation and conduction of the relevant assessment activities	Recommendations for accreditation of new operational entities for consideration by the Board	On-going	On-going (EB 59 - EB 64)



CDM MAP objective / deliverable <sup>1</sup>	Specific issue	Activities	Expected outputs	Status	Planned finish (EB meeting to consider the output)
Appendix 1 / On-going supportive activities; and 1 a) / Quality assessment of compliance to CDM requirements relating to project and entity submissions	Continuous monitoring of compliance of the designated operational entities (DOEs) with the CDM accreditation standard	<ul style="list-style-type: none"><li>• Regular consideration of the results of the DOE performance monitoring;</li><li>• Regular review of the assessment plans of individual DOEs;</li><li>• Consideration of the applications for re-accreditation and conducting the relevant assessment activities;</li><li>• Conducting regular surveillance assessments of the central offices and the non-central sites of DOEs;</li><li>• Conducting spot-checks of DOEs, as requested by the Board</li></ul>	<ul style="list-style-type: none"><li>• Assessment plans for individual DOEs;</li><li>• Recommendations for re-accreditation of DOEs for consideration by the Board;</li><li>• Recommendations for suspension or withdrawal of accreditation of DOEs for consideration by the Board;</li><li>• Notifications on completed regular surveillance and performance assessment activities for consideration by the Board</li></ul>	On-going	On-going  (EB 59 - EB 64)



## CDM – Executive Board

CDM MAP objective / deliverable <sup>1</sup>	Specific issue	Activities	Expected outputs	Status	Planned finish (EB meeting to consider the output)
Appendix 1 / On-going supportive activities; and 1 a) / Quality assessment of compliance to CDM requirements relating to project and entity submissions	Complaints and disputes from and against DOEs	Consideration of the disputes submitted against DOEs and by DOEs against the CDM assessment teams (CDM-AT) or the secretariat, in accordance with the CDM accreditation procedure	Recommendations for consideration by the Board	On-going	On-going  (EB 59 - EB 64)
1 a) / Quality assessment of compliance to CDM requirements relating to project and entity submissions; and 3 a)	Annual activity reports by the DOEs	<ul style="list-style-type: none"><li>• Review of the requirements for the annual activity reports by DOEs;</li><li>• Review of the annual activity reports by the DOEs for the period of 1 July 2010 - 30 June 2011</li></ul>	<ul style="list-style-type: none"><li>• Draft revised requirements for the annual activity reports by DOEs for consideration by the Board;</li><li>• Synthesis report on the annual activity reports by DOEs for consideration by the Board</li></ul>	On-going	AP 54, AP 57  (EB 61, EB 64)



CDM MAP objective / deliverable <sup>1</sup>	Specific issue	Activities	Expected outputs	Status	Planned finish (EB meeting to consider the output)
1 a) / Quality assessment of compliance to CDM requirements relating to project and entity submissions; and 3 a)	Consistent and efficient implementation of the CDM accreditation procedure	<ul style="list-style-type: none"><li>• Review of the accreditation practices with regard to the performance assessments;</li><li>• Review of the accreditation practices with regard to the assessments of the non-central sites;</li><li>• Development and maintenance of a guidance document to support consistency of the decision-making process by the CDM-AP</li></ul>	Guidance document to support consistency of the decision-making process by the CDM-AP	On-going	AP 55  (EB 62)



CDM MAP objective / deliverable <sup>1</sup>	Specific issue	Activities	Expected outputs	Status	Planned finish (EB meeting to consider the output)
1 a) / Quality assessment of compliance to CDM requirements relating to project and entity submissions; and 3 a)	Consistent and efficient implementation of the CDM accreditation standard, version 2.0	<ul style="list-style-type: none"> <li>• Review of the accreditation application and assessment forms;</li> <li>• Review of the implementation of the CDM accreditation standard, version 2.0;</li> <li>• Consideration of the requests for clarifications on the CDM accreditation standard, version 2.0;</li> <li>• Initial work on improvement of consistency of the competence-related sections of the CDM accreditation standard</li> </ul>	<ul style="list-style-type: none"> <li>• Revised accreditation application and assessment forms;</li> <li>• Recommendations for clarification on the CDM accreditation standard for the consideration by the Board;</li> <li>• Scope and the timeline of the work on improvement of consistency of the competence-related sections of the CDM accreditation standard</li> </ul>	<ul style="list-style-type: none"> <li>• Specific work already initiated: <ul style="list-style-type: none"> <li>– Most of the assessment forms were aligned with the CDM accreditation standard version 2.0 at AP 52</li> </ul> </li> </ul>	On-going  (EB 59 - EB 64)



CDM MAP objective / deliverable <sup>1</sup>	Specific issue	Activities	Expected outputs	Status	Planned finish (EB meeting to consider the output)
Appendix 1 / On-going supportive activities; and 1 b) / Implementation plan for specific skill development intervention and learning programmes	Enhanced capacity and consistency of the CDM assessment team (CDM-AT) experts	<ul style="list-style-type: none"> <li>• Development of a revised terms of reference (TOR) for the CDM-AT experts;</li> <li>• Qualification, performance monitoring and evaluation of the CDM-AT experts;</li> <li>• Review of the effectiveness of the training programme for the CDM assessment team (CDM-AT) experts;</li> <li>• Review the design, implementation and delivery of a specialized online learning programme for CDM-AT experts</li> </ul>	<ul style="list-style-type: none"> <li>• Revised TOR for the CDM-AT experts;</li> <li>• Regular training workshops for the CDM-AT experts;</li> <li>• Online training course for the CDM-AT experts</li> </ul>	<ul style="list-style-type: none"> <li>• Specific work already initiated: <ul style="list-style-type: none"> <li>– TOR for the CDM-AT experts were considered at AP 52 and AP 53;</li> <li>– Interaction workshop for the CDM-AP and the CDM-AT leaders was conducted in March;</li> <li>– First 2011 training workshop for the CDM-AT experts was conducted in March</li> </ul> </li> </ul>	On-going (EB 59 - EB 64)
3 b)	Liability of the DOEs for excess issuance of the CERs	<ul style="list-style-type: none"> <li>• Provision of input to the development of the procedure on the matter of liability of the DOEs for excess issuance of the CERs</li> </ul>	<ul style="list-style-type: none"> <li>• Procedure on the matter of liability of the DOEs for excess issuance of the CERs</li> </ul>	On-going	AP 56 (EB 63)



CDM MAP objective / deliverable <sup>1</sup>	Specific issue	Activities	Expected outputs	Status	Planned finish (EB meeting to consider the output)
4 a) / Direct and on-going communication with DOEs	Direct interaction with stakeholders	<ul style="list-style-type: none"> <li>Direct interaction with the Chair of the DOE/AIE Coordination Forum;</li> <li>Direct interaction with the joint implementation accreditation panel (JI-AP)</li> </ul>	Input to the consideration of policies by the CDM-AP	<ul style="list-style-type: none"> <li>Specific work already initiated: <ul style="list-style-type: none"> <li>Interaction at the CDM Joint Workshop in May 2011;</li> <li>Regular updates on the on-going developments in the JI-AP</li> </ul> </li> </ul>	On-going  (EB 59 - EB 64)

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#### History of the document

Version	Date	Nature of revision(s)
02.0	EB 63, Annex 1 29 September 2011	Added the work on improvement of consistency of the competence-related sections of the CDM accreditation standard.
01.0	EB 60, Annex 1 15 April 2011	Initial adoption.
<b>Decision Class:</b> Operational <b>Document Type:</b> Information Note <b>Business Function:</b> Governance		