

## Agenda item 4.2

Paragraph 77 of the annotated agenda

# CDM project cycle procedure

**CDM EB 63**

Quito, Ecuador, 25 – 29 September, 2011



### What is the project cycle procedure? (1)

- Consolidates in a single document all procedures relating to the registration of CDM project activities/PoAs and issuance of CERs
  - Replacing 22 existing procedures/guidelines and 3 Board decisions recorded in EB reports
- Specifies the roles and responsibilities and administrative steps to be followed by project participants, DOEs, the EB and the secretariat for operating the CDM project cycle
  - Steps to be followed by project participants and DOEs on substantive aspects of projects/programmes will be covered by PS and VVS, respectively



### What is the project cycle procedure? (2)

- Contains:
  - Processes with minor modifications and editorial changes
  - Significantly restructured processes
  - Completely new processes



## Changes from existing procedures (1)

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### Processes with minor modifications

- Ensuring consistency and improve clarity of language
- Timeframe expressed in (calendar) days throughout
- Introduction of the condition “at the latest 14 days before conducting site visit for verification” for publishing monitoring report
- Removal of the condition “within 60 days” for re-submission of rejected issuance requests
- Modalities of communication was formulated based on the on-going practice and clarifications/guidelines explained by the secretariat



### Significantly restructured processes

- Consolidation and modification of 4 post-registration change processes (EB 61 guidance)
  - PDD change, MP revision, deviation from MP and change to start date of crediting period
  - Possibility of notifying the change at issuance request stage
  
- Request for deviation from methodology
  - Can be done not only during validation, but also before publication of PDD
  - Requests handling process is aligned with the new post-registration change process



## Changes from existing procedures (3)

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### Newly introduced processes (1)

- Risk-based approach for secretariat's check of registration and issuance requests (EB 61 guidance)
  - Completeness check, information and reporting check and/or summary note preparation for selected submissions
  - Criteria/modalities for selection of submissions for check needs to be developed separately
  
- Direct communication with DOE and PPs (EB 60 guidance)
  - Clarification by telephone on issues of editorial nature
  - Explanation by telephone of reasons of rejection after information and reporting check



## Changes from existing procedures (4)

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### Newly introduced processes (2)

- Reporting on status of registered projects/programmes
  - Similar requirements as reporting of validation status after PDD publication
  - Before publication of MR: PPs to report the status at 2 years after registration and 180-day intervals thereafter
  - After publication of MR: DOE to report the status at 180 days after publication of MP and 90-day intervals thereafter
  
- Addressing significant deficiencies in past validation, verification or certification reports (MAP 2011 mandate)
  - To be developed (separately considered at EB 63)



## Stakeholders input (1)

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### Main inputs from stakeholders at workshop

- Allow submission of missing information or documents more than “of editorial nature” at completeness check (CC) and information & reporting check (IRC) stage
- 2-day response time for DOE at CC and IRC is not feasible
- Allow “dialogue” between the PPs/DOE and the Board/secretariat prior to negative decision or negative conclusion of a step by the latter
- Allow all post-registration changes to be submitted together with issuance requests



## Stakeholders input (2)

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### Main inputs from stakeholders on EB 63 annotations (1)

- Some modifications to modalities of communication
- Limit awaiting time before commencement of completeness check to 15 days
- Allow direct communication with DOE or PP after final ruling of rejection cases is made
- Make the Board's decision on rejection together with final ruling
- For rejected issuance requests, remove the re-submission request approval process



## Stakeholders input (3)

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### Main inputs from stakeholders on EB 63 annotations (2)

- Allowing methodology deviation request by DOE before PDD publication could be seen as consultancy
- Introduce direct communication at summary note preparation stage
- Revise the process to address erroneous inclusion of CPAs into PoA
- Introduce secretariat check of monitoring report
- Cross-reference errors, some editorial suggestions



## EB consideration

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### EB may wish to consider

- Whether the process with minor modification, significantly restructured and newly introduced are appropriate
- Which stakeholders' inputs that require new or major change of the processes to be reflected, if any?
- Operationalization plan for the PCP, taking into account necessary development of IT work flow and secondary documents (forms, etc.)

