

## Agenda item 4.2

Paragraph 80 of the annotated agenda

# Procedure for submission and consideration of standardized baselines

**CDM EB 63**

Quito, Ecuador, 25 – 29 September, 2011



# BACKGROUND

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## MANDATE

### CMP 6

- The CMP “*decided that Parties, project participants, as well as international industry organizations or admitted observer organizations through the host country’s designated national authority, may submit proposals for standardized baselines applicable to new or existing methodologies for consideration by the Executive Board of the clean development mechanism*”

### EB 60

- The Board requested the secretariat to “*draft new procedures for submission and assessment of standardized baselines for consideration of the Board at the latest at its sixty-third meeting*”



# SCOPE AND DEFINITION

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## SCOPE

- The procedure applies to proposed standardized baselines developed using an approved methodology or tool, or the “Guidelines for the establishment of sector specific standardized baselines”

**Note:** The procedure does not apply for submission and approval of new methodological approaches to develop a standardized baseline

→ should follow a procedure on submission and approval of proposed new methodologies or revision to approved methodologies/tools

## DEFINITION

- “*Standardized baseline*” = Baseline established for a Party or a group of Parties to facilitate the calculation of emission reductions and removals and/or the determination of additionality for CDM project activities, while providing assistance for assuring environmental integrity



# MAIN ELEMENTS OF THE PROCEDURE (1)

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## ASSESSMENT REPORT ON DATA COLLECTION, PROCESSING AND COMPILATION

- To be included in the submission from DNA
- Assessment to be done by a DOE contracted by DNA
- Parties with less than 10 CDM projects as of 31 Dec 2010 may:
  - Request for financial support to prepare the assessment report only for the first submission → The Board may allow funding for subsequent submissions, or
  - Omit assessment report only for the first submission → The secretariat prepares assessment report



## MAIN ELEMENTS OF THE PROCEDURE (2)

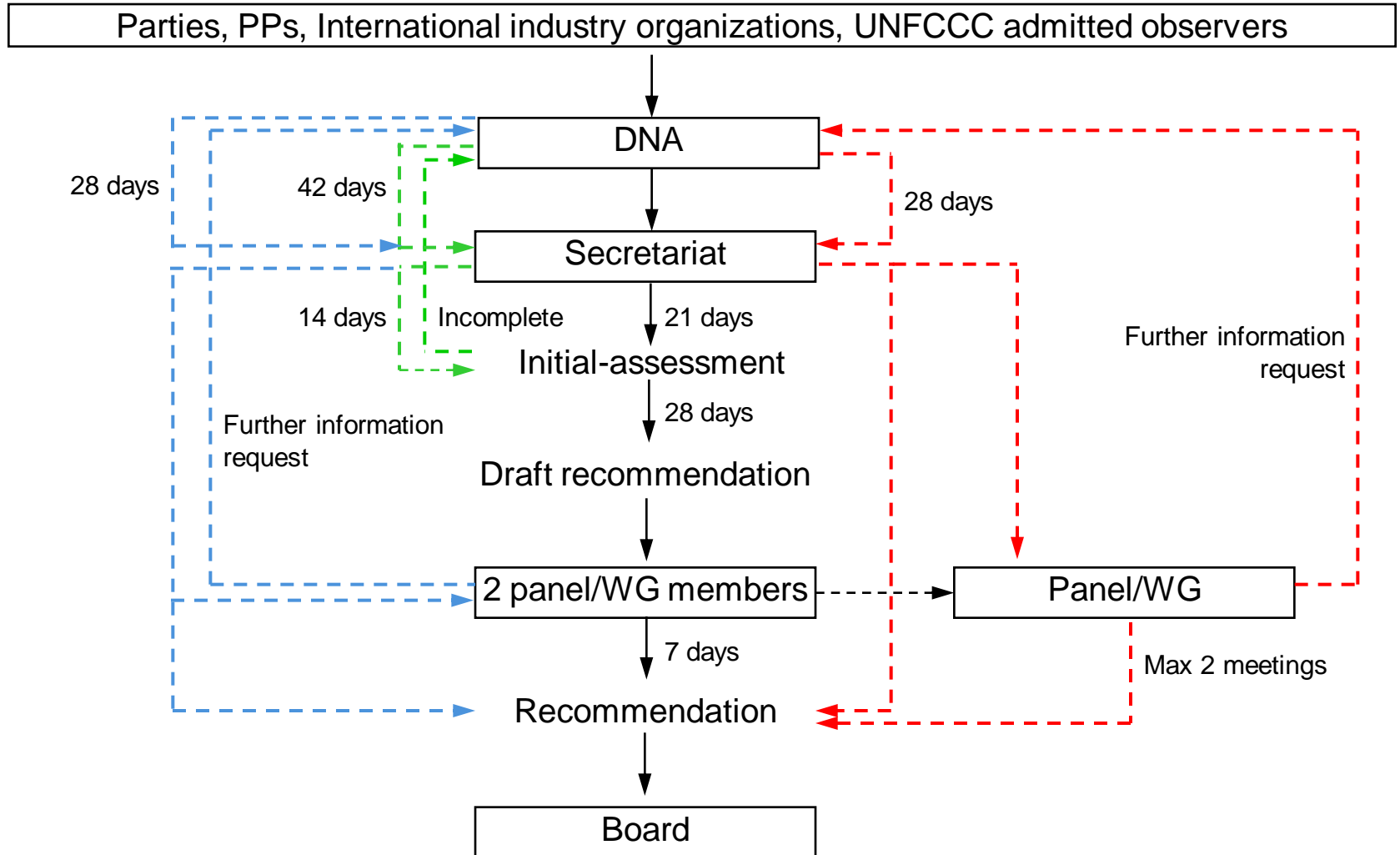
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### CONSIDERATION PROCESS

- Secretariat conducts initial assessment and prepares a draft recommendation
- Consultation with 2 panel/WG members
  - If both members agree with the draft recommendation → Board
  - If at least one member disagrees with the draft recommendation, or requests panel/WG consideration → panel/WG
- Possible consultation with panel/WG
- Possible clarification requests to DNA
- Possible direct communication with DNA in case further clarification needed
- Recommendations to the Board are always either “approve” or “not to approve” the proposed standardized baseline
- “Not to approve” recommendation at any stage will be submitted to the Board for its final decision



# SUBMISSION AND CONSIDERATION CYCLE



# KEY AREAS FOR CONSIDERATION BY THE BOARD

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## The Board may wish to consider, inter alia

- Whether the conditions for funding for preparation of assessment reports are appropriate
- Whether the roles and involvement of the secretariat and panel/WG (members) in preparing a recommendation are appropriate
- Whether it is appropriate to always pass a negative decision to the Board, even for incomplete submissions or DNA's non-response to clarification requests

**The Board may wish to approve the draft procedure for submission and consideration of standardized baseline**

