

Agenda item 2.4

Paragraph 11 of the annotated agenda

CMP & MAP Deliverables Status

CDM EB 64

Bonn, Germany, 24 – 26 October, 2011



Status Report by CMP Request

CMP request	Status
<p>Make available training and information materials to stakeholders / observer organizations on ongoing improvements/changes to modalities, rules, guidelines and methodologies</p>	<p>Completed/on-going: learning materials provided; IT based tools to be part of Learning Management System; Interaction with agencies on designing courses: WB, UNITAR and UNEP RISOE; training events (DNA, practitioner, methodologies, AT trainings, etc.)</p>
<p>Further clarify the application of existing rules regarding the demonstration of additionality to POA and the definition of eligibility criteria for the inclusion of component project activities in a POA</p>	<p>Completed: Agreed standard for demonstration of additionality of GHG emission reductions achieved by a programme of activities; agreed standard for the development of eligibility criteria for the inclusion of a project activity as a CPA under a PoA</p>
<p>Simplify the application of POA to activities applying multiple methods and technologies, including for possible city-wide programmes</p>	<p>Completed: Agreed standard for application of multiple CDM methodologies for a programme of activities</p>
<p>Ensure that rulings contain explanations of, and the rationale for, the decisions taken and that they include the sources of information used</p>	<p>Completed/on-going: improvements to clarity in outcomes of requests for registration/issuance; improved clarity in EB and panel reports</p>
<p>Continue to improve the transparency and consistency of the regulatory framework of the CDM by continuing to revise its official documentation in order that it complies with the hierarchy of decisions adopted by the Executive Board</p>	<p>Completed: revised framework for hierarchy of decisions; revised structure of agenda (product-based), inclusion of information in catalogue of decisions</p>

Status Report by CMP Request (2)

CMP request	Status
<p>Provide greater clarity regarding the timing and implications of the introduction of new rules and decisions</p>	<p>Completed: assessment of project cycle process undertaken. Partially completed: assessment of methodology consideration process underway (to be finalized at EB65); development of VVS,PS, PCP (to be finalized at EB65)</p>
<p>Adhere to the principle that any decision, guidance, tool and rule shall not be applied retroactively</p>	<p>Completed: continuously adhering to request</p>
<p>Assess, as necessary, the decision-making processes contained in its current rules of procedure and to make recommendations for changes, as appropriate</p>	<p>Completed: Board considered the draft revised rules and an analysis of its decision-making process and agreed not to recommend to the CMP to change its rules of procedure</p>
<p>Enhance its communications with project participants and stakeholders, including through the establishment of modalities and procedures for direct communication between the Executive Board and project participants in relation to individual projects</p>	<p>Completed: agreed procedures for communication Partially completed: development of PCP (to be finalized at EB65)</p>
<p>Develop and implement modalities and procedures with a view to enhancing direct communication with stakeholders and project proponents in relation to issues related to registration, issuance and methodologies work streams</p>	<p>Completed: agreed procedures for communication Partially completed: development of PCP (to be finalized at EB65)</p>
<p>Adopt, taking into consideration the views of stakeholders, and subsequently apply a procedure to address significant deficiencies in validation or verification reports</p>	<p>Partially completed: development of procedures to address significant deficiencies (to be finalized at EB64)</p>

Status Report by CMP Request (3)

CMP Request	Status
<p>Continue to monitor the activities of DOEs, in particular with regard to the timelines for the validation and verification processes, and to publish a compilation of information on such activities and timelines</p>	<p>Completed/on-going: continuous DOE performance monitoring (next information to be presented at EB 65)</p>
<p>Reduce substantially overall waiting time for consideration of new methodologies</p>	<p>Completed: backlog removed Partially completed: assessment of methodology consideration process underway (to be finalized at EB65)</p>
<p>Continue to thoroughly assess the environmental integrity of all baseline and monitoring methodologies and methodological tools</p>	<p>Completed: continuously done - part of methodological development; several methodologies, for large-scale, SSC and A/R approved by the Board, together with tools</p>
<p>Finalize guidance on the use of the first-of-its-kind barrier and the assessment of common practice</p>	<p>Completed: agreed guidelines on additionality of First-of-its-kind project activities and guidelines on Common Practice</p>
<p>Continue to simplify the modalities for demonstrating additionality for project activities up to 5 megawatts that employ renewable energy as their primary technology and for energy efficiency project activities that aim to achieve energy savings at a scale of no more than 20 gigawatt hours per year based on experience gained and to expand, as appropriate, their applicability to type III projects that reduce emissions by less than 20,000 tonnes of carbon dioxide equivalent per annum</p>	<p>Completed: Board agreed on simplification and expansion.</p>

Status Report by CMP Request (4)

CMP request	Status
<p>Secretariat to further increase the transparency of its work by, inter alia, publishing on the UNFCCC CDM website information related to the current and historic status regarding the processing of case-specific matters and methodologies, including requests for clarification, deviation and revision and for changes to project design documents</p>	<p>Partially Completed/On-going: information on status of some processes/stages implemented</p>
<p>Examine alternative approaches to the demonstration and assessment of additionality</p>	<p>Completed: through work on standardized baselines; agreement on first of its kind; positive list for SSC</p>
<p>Develop procedures for the use of nationally established grid emission factors as approved by the host country designated national authority</p>	<p>Completed: agreed standardized baseline procedures</p>
<p>Develop standardized baselines in consultation with relevant DNAs, prioritizing methodologies that are applicable to least developed countries, small island developing States, Parties with 10 or less registered clean development mechanism project activities as of December 31 2010 and underrepresented project activity types or regions, inter alia, for energy generation in isolate systems, transport and agriculture,</p>	<p>Completed: agreed guidelines for framework on standardized baseline; procedures and workplan; standardized baselines adopted</p>
<p>Periodically review, as appropriate, the standardized baselines used in the methodologies</p>	<p>Not Applicable. Can only review once implemented</p>

Status Report by CMP Request (5)

CMP request	Status
<p>Explore different financial sources for least developed countries, small island developing States, Parties with 10 or less registered clean development mechanism project activities to cover the costs of developing and establishing standardized baselines, including direct resources from the annual budget of the clean development mechanism.</p>	<p>Completed: agreed on procedures for standardized baselines</p>
<p>Report to the CMP at its seventh session on its work on standardized baselines.</p>	<p>Completed: annual report of CDM EB</p>
<p>Continue to seek ways in which to streamline the process for the registration of CDM project activities and the issuance of CERs which result in reduction in the waiting time for the project participants</p>	<p>Completed: assessment of project cycle process undertaken. Partially completed: development of VVS,PS, PCP (to be finalized at EB65)</p>
<p>Revise the procedures for registration to allow the effective date of registration and therefore the possible start date of the crediting period of a CDM project activity to be the date on which a complete request for registration has been submitted by the DOE where the project activity has been registered automatically</p>	<p>Completed: procedures revised</p>
<p>Take measures to enhance a common understanding between the secretariat and DOE of issues raised in the completeness checks stage and their impact on validation and verification, with a view to maximizing understanding of the validation and verification requirements by DOE and project participants and minimizing rejection rates at the completeness check stage</p>	<p>Completed: publication of completeness check lists and reasons for rejection of submissions at the completeness check stages; interaction and discussion with stakeholders at various events</p>

Status Report by CMP Request (6)

CMP request	Status
<p>Keep under review the completeness checklists in order to ensure they relate to clear reporting requirements in respect of validation and verification.</p>	<p>Completed: checklists kept under review and revised as appropriate</p>
<p>Ensure that editorial errors which will not affect the assessment of compliance with validation and verification requirements do not lead to a determination that the request for registration or issuance is incomplete</p>	<p>Completed: publication of completeness check lists and reasons for rejection of submissions at the completeness check stages; internal process to ensure incidents not occur; interaction and discussion with stakeholders at various events</p>
<p>Take the necessary measures to ensure that the average time between the receipt of a submission and the commencement of the completeness check is less than 15 calendar days in 2011, to maintain publicly available information on compliance with this request and to report on progress in this regard to the CMP at its seventh session</p>	<p>Partially completed: actions taken to increase efficiency of operations (e.g. amount of resources, realignment of resources); on average about 20 calendar days presently being maintained.</p>
<p>Enhance its interaction with the DNA Forum</p>	<p>Completed: Consultation with DNAs/organization of events; interaction twice a year (communication procedure) Partially completed: workplan for consideration at EB65</p>

Status Report by CMP Request (7)

CMP request	Status
<p>Accelerate the development of top-down baseline and monitoring methodologies that are applicable to underrepresented project activity types and regions, including providing support to the development and application of national grid emission factors</p>	<p>Completed/ongoing: developed top-down methodologies</p>
<p>Make the necessary arrangements to ensure the operationalization of the Loan scheme, including the selection of an institution to serve as an Implementing agency, to oversee the performance of the implementing agency</p>	<p>Completed: process for selection of institution is underway and will be reported to CMP.</p>
<p>Support the enhancement of the regional distribution of CDM project activities by intensifying the provision of focused and targeted support to assist DNAs, applicant entities, and project participants in underrepresented regions and countries in cooperation with the designated authorities of such Parties</p>	<p>Completed: training in CDM project cycle (focus on energy and waste), training and consultation event on standardized baselines, capacity building event for DNAs and project developers from countries with fewer than ten projects in the Asia Pacific region; secretariat began direct communication with project developers of projects and programmes located in countries with fewer than 10 projects which have been in validation for more than one year to identify barriers and address issues being faced in project development.</p>

Status Report by CMP Request (8)

CMP request	Status
<p>Further explore the possibility of including in baseline and monitoring methodologies, as appropriate, a scenario in which future anthropogenic emissions by sources are projected to rise above current levels owing to the specific circumstances of the host Party</p>	<p>Completed: agreed guidelines and workplan on suppressed demand</p>
<p>Make publicly available more detailed and transparent reports on the status of income and expenditure</p>	<p>Completed: quarterly reporting on income and expenditure.</p>
<p>Adopt a management plan which ensures that the resources available are commensurate with the expected demands placed on it and its support structure;</p>	<p>Completed: agreed business plan 2011-12 and management plan for 2011.</p>
<p>Implement the staffing requirements contained in the management plan in an expeditious manner in order to support the work of the Executive Board, and to explore other ways to improve its capacity, especially via outsourcing</p>	<p>Completed: expeditious implementation of approved resources; utilization of outsourcing approaches; continuous reporting on status</p>

Status Report by Business Plan Objectives

CDM Business Plan	73%
<i>1. Greater efficiency in the operation of the CDM</i>	69%
<i>2. Regional and sub regional distribution and capacity - building</i>	69%
<i>3. Improved objectivity, clarity and integrity in the CDM</i>	88%
<i>4. Enhanced transparency of the CDM</i>	82%
<i>5. Enhanced promotion of the mechanism</i>	62%
<i>Appendix 1 – regular business operations</i>	72%

(Year to-date as of end of September, 2011)

Status of Remaining 2011 MAP Deliverables

Objective / Deliverable	%
<i>1. Greater efficiency in the operation of the CDM</i>	69%
1 a) Review the CDM operations and develop innovative measures for improving efficiencies in its process cycle	70%
1 b) Development of skills and expertise among Board Members, identified Stakeholders, and SDM staff for the further improvement of their technical capacity	63%
<i>2. Regional and sub regional distribution and capacity - building</i>	69%
2 b) Assessment and, where possible, removal of barriers to the implementation of CDM projects, project types and programmes in the CDM pipeline, in currently under represented regions	75%
2 c) Arrangements to ensure the operationalization of the loan scheme, communicate its availability and appropriately assist potential applicants to access funds	36%
2 d) Top down development of standards and standardized baselines that are particularly applicable in geographical regions and sub regions which are under represented in the CDM, as well as, covering new project types	78%
2 e) Strengthening of DNA and other stakeholder capacity and skills, to allow them to prioritize, focus and intensify their efforts to utilise the benefits of the CDM	54%
2 f) Effective coordination of the work programme of the Nairobi Framework partner agencies, including their capacity building activities to promote and improve regional and sub regional distribution of CDM projects	59%

Status of Remaining 2011 MAP Deliverables (2)

Objective / Deliverable	%
<i>3. Improved objectivity, clarity and integrity in the CDM</i>	88%
3 a) Improvement of the standards, procedures, guidelines and tools to enhance objectivity and environmental integrity, using, where possible, new and innovative approaches	88%
3 b) Clarification, consolidation and enhancement of the consistencies of all the existing regulatory decisions of the board that relate to validation and verification of project activities	91%
4 b) Development of publicly available work flows providing information relating to the status of all submissions in process	74%
4 c) Improvement of the documentation and reports of the meetings of the Executive Board and supporting panels	64%
4 d) Improvement of the transparency of the regulatory governance structure and administrative support	84%
<i>5. Enhanced promotion of the mechanism</i>	62%
5 a) Development and dissemination of training and information materials regarding regulators requirements, improvements in the regulatory system and issues raised in the assessment of project submissions	30%
5 b) Further assessment and communication of the achievements and contributions of the mechanism in mitigating climate change and advancing sustainable development	69%
<i>Appendix 1 – regular business operations</i>	72%

Delayed 2011 MAP Deliverables

2011 MAP Deliverable	Status / expected delivery
Standardized templates for validation and verification reports, and digitized project information	Work to be completed in 2012
Report on the potential for CDM project development in underrepresented regions - Profile of greenhouse gas emissions and reduction potentials in non-Annex I countries	Work to be completed in 2012
Report on the potential for CDM project development in underrepresented regions – prepare targeted action plan for outreach and capacity building to support the established targets	Work to be completed in 2012
Board approval 4 top-down standardized baselines	Only one SSC has been approved, 3 SSC in process. Work to continue in 2012.
Maintain a central data portal to consolidate, harmonize and make public CDM project information	Work to be completed in 2012. Possible consideration at EB65.

Thank you

Questions?

