

## Agenda item 2.6

Paragraph 16 of the annotated agenda

# CDM-AP 57: Progress report

**CDM EB 65**

Durban, South Africa, 21-25 November, 2011



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- II. Review of implementation of CDM-AP 2011 workplan
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# I. CDM-AP 57 OVERVIEW





## CDM-AP 57 OVERVIEW

### CASE SPECIFIC ISSUES

The CDM-AP considered 25 cases:

- a. 4 initial accreditations
  - b. 1 re-accreditation
  - c. 1 extension of accreditation scope
  - d. 5 regular surveillance assessments of central offices and non-central sites
  - e. 6 performance assessments
  - f. 3 additional focused desk reviews
  - g. 4 notifications of change
  - h. 1 report on spot-check
- 5 recommendations and 9 notifications submitted to the Board



## CDM-AP 57 OVERVIEW

### POLICY ISSUES

- The CDM-AP considered the following policy-related issues at its meeting:
  - a. Interaction with the **DOE Forum**
  - b. Review of the implementation of the **assessment plans** for 2011
  - c. Synthesis report of **annual reports** submitted by DOEs
  - d. Requests for **clarification** from CDM-AT members
  - e. Scope and timelines for the **review of the standard**
  - f. Modalities and interlinkages of **parallel assessments**
  - g. **Focus of** performance assessments
  - h. Status of **assessment resources**
  - i. Further work on the **Guidance for Decision making** document
  - j. Possible interaction with the **JI-AP**
  - k. Review of implementation of the **CDM-AP workplan for 2011**



## II. REVIEW OF THE IMPLEMENTATION OF THE CDM-AP 2011 WORKPLAN



CDM MAP objective / deliverable <sup>1</sup>	Specific issue	Activities	Expected outputs	Status	Planned finish
Appendix 1 / On-going supportive activities; and 1 a) / Quality assessment of compliance to CDM requirements relating to project and entity submissions	New application for accreditation	Consideration of new applications for accreditation and conduction of the relevant assessment activities	Recommendations for accreditation of new operational entities for consideration by the Board	<b>Regular.</b> <b>11 initial accreditation assessments initiated in 2011</b>	On-going (EB 59 - EB 64)

CDM MAP objective / deliverable <sup>1</sup>	Specific issue	Activities	Expected outputs	Status	Planned finish
Appendix 1 / On-going supportive activities; and 1 a) / Quality assessment of compliance to CDM requirements relating to project and entity submissions	Continuous monitoring of compliance of the designated operational entities (DOEs) with the CDM accreditation standard	<ul style="list-style-type: none"> <li>–Regular consideration of the results of the DOE performance monitoring;</li> <li>–Regular review of the assessment plans of individual DOEs;</li> <li>–Consideration of the applications for re-accreditation and conducting the relevant assessment activities;</li> <li>–Conducting regular surveillance assessments of the central offices and the non-central sites of DOEs;</li> <li>–Conducting spot-checks of DOEs, as requested by the Board</li> </ul>	<ul style="list-style-type: none"> <li>–Assessment plans for individual DOEs;</li> <li>–Recommendations for re-accreditation of DOEs for consideration by the Board;</li> <li>–Recommendations for suspension or withdrawal of accreditation of DOEs for consideration by the Board;</li> <li>–Notifications on completed regular surveillance and performance assessment activities for consideration by the Board</li> </ul>	<p><b>Regular.</b></p> <ul style="list-style-type: none"> <li>- Regular consideration of the results of the DOE performance monitoring;</li> <li>- Regular review of the assessment plans of individual DOEs;</li> <li>- 120 assessments initiated in 2011;</li> <li>- Regular consideration of cases, preparation of recommendations and notifications.</li> </ul>	On-going (EB 59 - EB 64)



CDM MAP objective / deliverable <sup>1</sup>	Specific issue	Activities	Expected outputs	Status	Planned finish
Appendix 1 / On-going supportive activities; and 1 a) / Quality assessment of compliance to CDM requirements relating to project and entity submissions	Complaints and disputes from and against DOEs	Consideration of the disputes submitted against DOEs and by DOEs against the CDM assessment teams (CDM-AT) or the secretariat, in accordance with the CDM accreditation procedure	Recommendations for consideration by the Board	<b>Regular. Complaints and disputes being considered as required; no recommendations submitted to the EB so far.</b>	On-going (EB 59 - EB 64)



CDM MAP objective / deliverable <sup>1</sup>	Specific issue	Activities	Expected outputs	Status	Planned finish
1 a) / Quality assessment of compliance to CDM requirements relating to project and entity submissions; and 3 a)	Annual activity reports by the DOEs	<ul style="list-style-type: none"> <li>–Review of the requirements for the annual activity reports by DOEs;</li> <li>–Review of the annual activity reports by the DOEs for the period of 1 July 2010 - 30 June 2011</li> </ul>	<ul style="list-style-type: none"> <li>–Draft revised requirements for the annual activity reports by DOEs for consideration by the Board;</li> <li>–Synthesis report on the annual activity reports by DOEs for consideration by the Board</li> </ul>	<p><b>Done:</b>  <b>- Revised requirements approved at EB 61</b>  <b>- Synthesis report to be considered at EB 65</b></p>	AP 54, AP 57 (EB 61, EB 64)



CDM MAP objective / deliverable <sup>1</sup>	Specific issue	Activities	Expected outputs	Status	Planned finish
1 a) / Quality assessment of compliance to CDM requirements relating to project and entity submissions; and 3 a)	Consistent and efficient implementation of the CDM accreditation procedure	<ul style="list-style-type: none"> <li>–Review of the accreditation practices with regard to the performance assessments;</li> <li>–Review of the accreditation practices with regard to the assessments of the non-central sites;</li> <li>–Development and maintenance of a guidance document to support consistency of the decision-making process by the CDM-AP</li> </ul>	Guidance document to support consistency of the decision-making process by the CDM-AP	<p><b>Done:</b> <b>Review of accreditation practices with regard to the performance assessments and the assessments of the non-central sites</b></p> <p><b>On-going:</b> <b>Development of a guidance document to support consistency of the decision-making process by the CDM-AP by early 2012</b></p>	AP 55 (EB 62)



CDM MAP objective / deliverable <sup>1</sup>	Specific issue	Activities	Expected outputs	Status	Planned finish
1 a) / Quality assessment of compliance to CDM requirements relating to project and entity submissions; and 3 a)	Consistent and efficient implementation of the CDM accreditation standard, version 2.0	<ul style="list-style-type: none"> <li>–Review of the accreditation application and assessment forms;</li> <li>–Review of the implementation of the CDM accreditation standard, version 2.0;</li> <li>–Consideration of the requests for clarifications on the CDM accreditation standard, version 2.0;</li> <li>–Initial work on improvement of consistency of the competence-related sections of the CDM accreditation standard</li> </ul>	<ul style="list-style-type: none"> <li>–Revised accreditation application and assessment forms;</li> <li>–Recommendations for clarification on the CDM accreditation standard for the consideration by the Board;</li> <li>–Scope and the timeline of the work on improvement of consistency of the competence-related sections of the CDM accreditation standard</li> </ul>	<p><b>Done:</b></p> <ul style="list-style-type: none"> <li>-Review of forms</li> <li>-Review of implementation of standard</li> <li>-Consideration of requests for clarification</li> <li>-Scope and timeline of the work on the standard</li> </ul> <p><b>Ongoing:</b></p> <ul style="list-style-type: none"> <li>-Initial work on improvement of consistency of the competence-related sections of the CDM accreditation standard</li> </ul>	On-going (EB 59 - EB 64)



CDM MAP objective / deliverable <sup>1</sup>	Specific issue	Activities	Expected outputs	Status	Planned finish
Appendix 1 / On-going supportive activities; and 1 b) / Implementation plan for specific skill development intervention and learning programmes	Enhanced capacity and consistency of the CDM assessment team (CDM-AT) experts	<ul style="list-style-type: none"> <li>–Development of a revised terms of reference (TOR) for the CDM-AT experts;</li> <li>–Qualification, performance monitoring and evaluation of the CDM-AT experts;</li> <li>–Review of the effectiveness of the training programme for the CDM assessment team (CDM-AT) experts;</li> <li>–Review the design, implementation and delivery of a specialized online learning programme for CDM-AT experts</li> </ul>	<ul style="list-style-type: none"> <li>–Revised TOR for the CDM-AT experts;</li> <li>–Regular training workshops for the CDM-AT experts;</li> <li>–Online training course for the CDM-AT experts</li> </ul>	<p><b>Done:</b></p> <ul style="list-style-type: none"> <li>- <b>Qualification, performance monitoring and evaluation of the CDM-AT experts;</b></li> <li>- <b>Review of the effectiveness of the training programmes;</b></li> </ul> <p><b>Ongoing:</b></p> <ul style="list-style-type: none"> <li>- <b>Revised ToR for CDM-AT experts to be submitted to the Board in early 2012;</b></li> <li>- <b>Online training course for CDM-AT experts under development</b></li> </ul>	On-going (EB 59 - EB 64)



CDM MAP objective / deliverable <sup>1</sup>	Specific issue	Activities	Expected outputs	Status	Planned finish
3 b)	Liability of the DOEs for excess issuance of the CERs	–Provision of input to the development of the procedure on the matter of liability of the DOEs for excess issuance of the CERs	–Procedure on the matter of liability of the DOEs for excess issuance of the CERs	<b>Done:</b> <b>Provided input to the development of broader policy issues, such as the procedure on the matter of liability of the DOEs for excess issuance of CERs;</b>	AP 56 (EB 63)



CDM MAP objective / deliverable <sup>1</sup>	Specific issue	Activities	Expected outputs	Status	Planned finish
4 a) / Direct and on-going communication with DOEs	Direct interaction with stakeholders	<ul style="list-style-type: none"> <li>–Direct interaction with the Chair of the DOE/AIE Coordination Forum;</li> <li>–Direct interaction with the joint implementation accreditation panel (JI-AP)</li> </ul>	Input to the consideration of policies by the CDM-AP	<p><b>Done:</b></p> <ul style="list-style-type: none"> <li>– <b>Conducted direct interactions with the DOE/AIE Coordination Forum;</b></li> <li>– <b>Considered changes in the joint implementation accreditation process and worked on modalities and specific areas for a direct collaboration with the joint implementation accreditation panel.</b></li> </ul>	On-going (EB 59 - EB 64)



# III. SCOPE AND TIMELINES FOR THE REVISION OF THE CDM ACCREDITATION STANDARD v3



## BACKGROUND

### **EB 63:**

- a. CDM-AP to initiate work on the **improvement of consistency of the competence-related sections** of the CDM accreditation standard; and
- b. To define the exact **scope and the timeline** of the work.

### **CDM-AP 57:**

- a. Consideration of preparatory work conducted
- b. Definition of scope and timelines, in **two phases**

## SCOPE

Improving the consistency and clarity of requirements related to human resources and competence.

## ESTIMATED TIMELINE

Steps	Timeline
1. Secretariat conducts analysis of NCs and requests for clarifications, prepares analysis, and Draft 1 in consideration of the advance work done by the CDM-AP members	December 2011
2. CDM-AP reviews and provides comments on Draft 1	January 2012
3. Secretariat prepares Draft 2 based on CDM-AP's comments	January 2012 to February 2012
4. Public call for inputs	February 2012
5. Secretariat prepares Draft 3 based on inputs from public call	February 2012
6. CDM-AP reviews Draft 3 and provides final comments	March 2012
7. Secretariat prepares final Draft based on CDM-AP's final comments	March 2012
8. Board considers final Draft revised Standard	April 2012



## SCOPE

Substantial revision of the requirements related to human resources and competence, enhancing competence-based criteria.

## ESTIMATED TIMELINE

Steps	Timeline
1. Secretariat develops Draft 1 based on CDM-AP's guidance	April 2012 to May 2012
2. CDM-AP reviews and provides comments on Draft 1	June 2012
3. Secretariat prepares Draft 2 based on CDM-AP's comments	July 2012
4. Stakeholder consultation (public call or workshop)	July to August 2012
5. Interaction with key stakeholders (CDM-ATs and DOEs)	September 2012 (1 <sup>st</sup> or 2 <sup>nd</sup> week)
6. Develop Draft 3 based on stakeholder comments	September 2012 (last week)
7. CDM-AP review of final draft	October 2012
8. Submission of final draft to the Board	November 2012



## IV. SPECIFIC REQUESTS TO THE CDM-EB



## SPECIFIC REQUESTS TO THE CDM-EB

### **ACCREDITATION CASES**

- The Board is invited to consider the case-related recommendations and notifications;

### **CDM-AP REPORT**

- The Board is invited to take note of the CDM-AP report, which includes:
  - a) Overview of the CDM-AP 57 meeting;
  - b) Review of implementation of CDM-AP 2011 workplan; and
  - c) Scope and timelines for the revision of the CDM accreditation standard.