

**JI-AP's recommendation  
for the adoption of  
JI accreditation standard (ver 01)**

**Twenty-first meeting of the Joint  
Implementation Supervisory Committee**

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## JI accreditation standard

Would be a new document that consolidates into a single document all JI accreditation requirements by:

- integrating existing requirements contained in three existing documents, with modifications as appropriate
- adding new requirements deemed necessary for the JI accreditation process

## Objectives of the standard

- Facilitate and promote a clear and common understanding of the JI accreditation requirements
- Ensure consistent enforcement of the JI accreditation requirements and of assessment of IEs against these requirements by JI-ATs, the JI-AP and the JISC
- Enhance the efficiency and transparency of the JI accreditation process

## Advantages of the standard

- Consolidation of all accreditation requirements into one single document
- Significant improvement of the requirements
- Better consistency with CDM process

## Section I: Introduction

- Presents background, objectives, structure and definitions
- Contains provisions with regard to entry into force (grace period)

## Section II: Legal status

- To be a legal entity (legally registered under applicable laws)

Note: the entire legal entity will be eligible for accreditation as opposed to only accredited premises currently

## Section III: Financial stability and insurance

- To have, and demonstrate the entity has, financial resources and stability to perform its work
- To have arrangements to cover legal and financial risks (insurance)

## Section IV: Management structure

- To have an organizational and management structure, with top management having overall authority and responsibility for all the entity's operations
- Define operational management functions
- Define what must be done within the legal entity (and indirectly define what may be delegated to other legal entities, such as subsidiaries, sister companies or any other non-related entities)

Example: contract review and signing: must be done by the accredited entity's operational management

(current requirement maintained, taken from C-JI-004)

## Section V: Impartiality

- To have an impartiality policy and procedure
- To have a structure (committee) to safeguard impartiality and ensures that the impartiality policy is effectively implemented
- No change in the general approach: An entity may carry out other activities related to JI (e.g. development or consultancy on JI projects) provided it has in place measures (firewall) to preserve impartiality in determination/verification work

## Section V: Impartiality

- A few new requirements added in the impartiality procedure,

Examples:

- The IE does not carry out determination or verification regarding a JI project that the IE has identified, developed, financed, marketed, promoted or provided consultancy for. (para. 47 (b))
- Each IE's employee involved in determination or verification work is bound by the IE's impartiality policy and acts impartially through contractual or employment conditions and assignment conditions for each determination or verification. (para. 47 (e))

## Section VI: Human resources and competence

- To employ, or have access to, a sufficient number of competent determination/verification personnel
- To define competence criteria for each function of determination/verification personnel, operational management and quality manager
- Competence requirements to be met by operational management and determination/verification personnel
- To have procedures for qualifying, recruiting, monitoring performance and training determination/verification personnel

## Section VI: Human resources and competence

- New requirements for:
  - Competence of internal reviewers
  - Monitoring the performance of personnel for the assessment and approval of methodologies
  - Procedures for using external personnel and subcontracting

## Section VII: Determination and verification processes

- To have procedures for:
  - Conducting proposal and contract reviews
  - Selecting team members
  - Carrying out determinations and verifications
  - Conducting internal reviews
  - Approving final reports

## Section VII: Determination and verification processes

- New requirements:
  - To have a procedure for conducting proposal and contract reviews
  - Strengthening the procedure for carrying out determinations and verifications, including the use of specific tools (methodology check-list)
  - To have a procedure for conducting internal reviews

## Section VIII: Quality management system

- To have a quality management system, including procedures for documents control, records control, internal audits, handling corrective actions, preventive actions and management reviews
- New requirements:
  - Almost all above procedures

## Section IX: Confidentiality

- To have a procedure for safeguarding confidentiality

## Section X: Complaints, disputes and appeals handling processes

- To have procedures for:
  - Handling complaints, disputes and appeals
- New requirements: the provisions in these procedures are more elaborated

## Section XI: Pending judicial processes

- Not to have pending any judicial process for malpractice, fraud or other activity incompatible with determination/verification work
- To maintain a record of all past and pending processes, and inform the JISC if such process is initiated

## Simultaneous action with the adoption of the standard

Two existing documents to be cancelled:

- Appendix A of “List of Sectoral Scopes” (Competence criteria)
- “Clarification regarding responsibility of accredited premises of accredited independent entities”

## Implementation of the standard

- Date of entry into effect:  
e.g. 15 April 2010 (next day after JISC 21)
- Grace period:  
JI-AP's recommendation (in the standard): three (3) months

## Implementation of the standard

- Verification of entities' compliance with the standard

JI-AP's recommendation:

"Accredited independent entities and applicant independent entities that have been issued an indicative letter in accordance with the JI accreditation procedure shall provide to the JISC, (by) within eight days following \_\_\_\_\_ 2010 {*three months from the date on which the JISC adopts this standard*}, a declaration that it fully complies with the JI accreditation standard, including the list of policies, procedures and systems that it adopted, established, revised or implemented to comply with the JI accreditation standard along with a copy of all new or revised related documents. Based on this declaration and documentation, the JI-AP will consider, on a case-by-case basis, whether to conduct a desk review of such documentation to be performed by a JI-AT."