

MEASURES TO STREAMLINE AND FURTHER IMPROVE JOINT IMPLEMENTATION ACCREDITATION PROCESS AND FUNCTIONNING

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Background

- JISC in its annual report to CMP has noted that difficulties:
 - Continued to be faced with regard to the financial status of the resources for the work under the JISC
 - Could prevent some of the envisaged work and planned activities relating to the consideration of determinations and verifications, as well as the accreditation of independent entities (IEs), from being undertaken
 - The JISC in its report on experience with the verification procedure under the JISC has identified the accreditation process as an area to act upon for improvement of future operations of JISC and identified action areas:
 - Increasing the numbers and capacity of accredited independent entities;
 - Major revisions in the procedures including further means of streamlining the accreditation process by building on synergies with and lessons learned from other accreditation processes, including the possibility of removing the provision requiring a witnessing activity as part of the initial accreditation of an independent entity and with a view to the possible development of a unified accreditation process for JI and the CDM.
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Background

- **JISC 23 requested the secretariat to prepare an analysis on how to streamline the JI accreditation process, including proposals on how to build on synergies and lessons from other accreditation processes**
- **CMP 6 requested the JISC to:**
 - **Further improve the verification procedure by enhancing the clarity of its documents, setting time limits in the JI project cycle, making use of electronic decision making and encouraging and supporting project-based innovative methodological approaches;**
 - **Further streamlining the accreditation process, by building on synergies with and lessons learned from other accreditation processes.**



Objectives

- **To increase the number of competent AIEs available in the market**
- **To ensure efficient operation of the JI accreditation given the resources available.**

JI current accreditation process

- **Accreditation process:**
 - Desk review
 - On-site assessment
 - Witnessing activity: one witnessing activity before initial accreditation
 - Performance assessments that will be undertaken after accreditation
 - Regular on-site surveillance
 - Spot check

- **Status of accreditation**
 - 16 entities have applied for accreditation
 - 4 entities were accredited of which one has withdrawn
 - 11 entities have been granted indicative letters
 - 8 entities are provisionally acting as AIEs

- **Analysis of the situation (results not commensurate with resources invested + impact of the low number of AIE in the market is not clear)**

Proposals for streamlining JI accreditation

- **Streamlining of the JI accreditation process**
- **Streamlining JI accreditation operations**
- **Proposals for better integration between the JI accreditation process and the JI project cycle**



Proposals for streamlining JI accreditation

Streamlining of the JI accreditation process



Streamlining JI-Accreditation process

- Option 1: Limiting the revision of the JI accreditation process to the changes already agreed by the JISC with few adjustments
- Option 2: Aligning the steps of JI accreditation procedure with the steps of CDM accreditation procedure (desk review, on-site assessment, performance assessments...)
- Option 3: Aligning the JI accreditation process (procedure, standard and functioning) with the CDM accreditation process steps and carrying out combined/joint assessment resulting in two reports for decision making of the two accreditation panels (JI and CDM)
- Option 4: Full integration of CDM and JI accreditation processes including the accreditation panels
- Option 5: Delegation of the administration of JI accreditation programme to IAF-recognized national accreditation body(ies) but retain policy development and final accreditation decision within JISC



Streamlining JI-Accreditation process

- **Option 1:** Limiting the revision of the JI accreditation process to the changes already agreed by the JISC with few adjustments
 - To maintain one successful witnessing activity before granting accreditation
 - To replace ex-post witnessing activities with performance assessments after accreditation
 - To explicitly mention in the accreditation procedure that Track 1 projects are eligible as witnessing activities
 - To take some punitive actions against project participants or developers who refuse that the IE offers their project as witnessing opportunity
 - Shorten and streamline and/or introduce timelines at each step of the accreditation procedure
 - Define criteria for the post accreditation performance assessments



Streamlining JI-Accreditation process

Option 2: Aligning the steps of JI accreditation procedure with the steps of CDM accreditation procedure

- Removing the pre-accreditation witnessing activity;
- Replacing the post accreditation witnessing activities by performance assessments;
- Evaluating the need for further assessment for entities issued an indicative letter or provisionally accredited;
- Determining the nature of the assessment to be done for each category;
- Strengthening the on-site assessment;
- Streamline, shorten and/or introduce timelines for each step of the accreditation process;
- Defining the number and the nature of performance assessments to be undertaken.



Streamlining JI-Accreditation process

Option 3: Aligning the JI accreditation process (procedure, standard and functioning) with the CDM accreditation process steps and carrying out combined/joint assessment resulting in two reports for decision making of the two accreditation panels (JI and CDM)

- Full alignment of the CDM and JI accreditation procedures including the timelines;
- Adoption of a common accreditation standard. Specificities of each of the processes (CDM and JI) could still be preserved by having separate chapters for each of the processes;
- The use of the same roster of experts that are qualified in both CDM and JI, which also involves the use of the same qualification criteria.



Streamlining JI-Accreditation process

Option 4: Full integration of CDM and JI accreditation processes including the accreditation panels

- This option involves the full integration of the two accreditation processes (JI and CDM) in order to have a single process and single accreditation to be granted. The CDM and JI accreditation panels would have to be integrated in order to have one decision making body.

Streamlining JI-Accreditation process

Option 5: Delegation of the administration of JI accreditation programme to IAF-recognized national accreditation body(ies) but retain policy development and final accreditation decision within JISC

- This option consists of the delegation of the administrative and the assessment parts to an International Accreditation Forum (IAF)-recognized national accreditation body(ies) that would be responsible for managing process related matters to accreditation, carrying out assessment and recommending an accreditation decision for the JISC. Policy related matter will be kept within the UNFCCC via the JI-AP.

Streamlining JI-Accreditation process

Comparative table between the different options

Proposals for streamlining JI accreditation

Streamlining JI accreditation operations



Streamlining JI accreditation operations

- Enhance the use of electronic means for decision making
- Capitalise on the experience learnt by the implementation and the revisions of the CDM accreditation standard for revisions to the JI accreditation standard
- Strengthen the qualification and monitoring process of JI assessment team members
- Increase efficiency and time of operation of the administration of the accreditation process



Proposals for streamlining JI accreditation functioning

- **Enhance the use of electronic means for decision making**
 - Prioritise the consideration of cases and ensure that accreditation decisions are made in a timely manner by taking decisions on case-related matters via electronic means
 - Undertake preliminary discussions on policy and strategic issues via email and teleconferences so that the meeting time is devoted to the finalization of decisions on the issues and discussions on ways to further improve the process

- **Capitalise on the experience learnt by the implementation and the revisions of the CDM accreditation standard for revisions to the JI accreditation standard**
 - Focus the work of the JI-AP only on areas that are different between the JI and CDM accreditation standards so that time of the JI-AP is more devoted to strengthen the requirements especially regarding the competence requirements of AIEs in validating projects using JI specific approaches



Proposals for streamlining JI accreditation functioning

- **Strengthen the qualification and monitoring process of JI assessment team members**
 - Use of qualified experts on the roster of CDM
 - Focus JI-AP efforts on monitoring the performance of the experts and their training only on areas that are specific to JI

- **Increase efficiency and time of operation of the administration of the accreditation process**
 - Align CDM and JI accreditation procedures to make use of the IT infrastructure developed for CDM
 - Better use of secretariat resources in supporting JI policy related issues



Proposals for streamlining JI accreditation

**Better integration between JI accreditation process and
JI project cycle**



Integration between JI accreditation and JI project cycle

- **With the introduction of the performance assessments, data generated from the project cycle will be used as criteria for determining the number and the nature of performance assessments.**
- **Undertakes work on ways on how data generating from the accreditation process may be used in the project cycle process:**
 - To use such data to reduce the number of projects checked for AIEs that have proven to have a compliant and stable management system
 - To consider the relationship between both regular surveillance and performance assessments and assessments conducted in the project cycle process aiming at integrating project cycle assessments as part of the performance assessment process



Expected outcome

- **Streamlining JI-Accreditation process**
 - Consider and agree on one of the option and/or provide further guidance
- **Streamlining JI accreditation functioning**
 - Consider and agree on all or some of the areas proposed and/or provide further guidance
- **Better integration between JI accreditation process and JI project cycle**
 - Consider whether to give the mandate to the secretariat to further work on the proposal and come-up with recommendations for the JISC consideration at a future meeting



Discussion

- **Streamlining JI-Accreditation process**
 - Option 1 to 5
- **Streamlining JI accreditation functioning**
 - Enhance the use of electronic means for decision making:
 - Capitalise on the experience learnt by the implementation and the revisions of the CDM accreditation standard for revisions to the JI accreditation standard
 - Strengthen the qualification and monitoring process of JI assessment team members
 - Increase efficiency and time of operation of the administration of the accreditation process
- **Better integration between JI accreditation process and JI project cycle**

