

DOE/AIE Forum | *Martin Enderlin* | 23 March 2011

JISC-24: Relations with AIEs and applicant Independent Entities

Agenda

- Introduction of Chair of the DOE/AIE Forum
- Establishment of DIA
- Specific Inputs by AIEs and DIA to JISC-24
- Comments on MAP
- Priorities in 2011 for AIE Forum

DIA

- Designated Operational Entities and Independent Entities Association
- A not-for-profit organization with a limited amount of potential members (DOEs, AIEs, Applicant Entities)
- Recently in the registration process in Geneva, Switzerland
- Permanent staff in the operations office in Germany

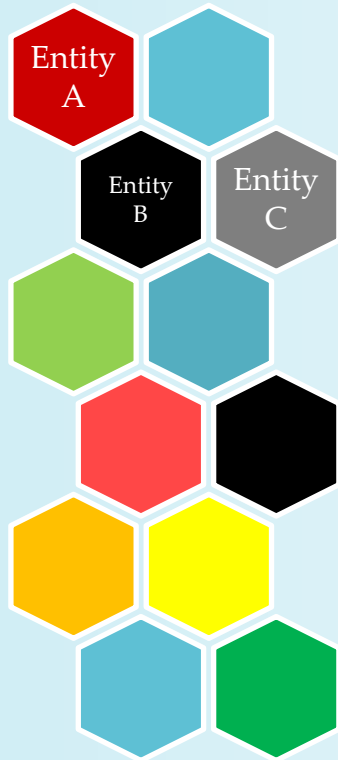
DIA - Purpose

- To be an independent, not-for-profit organization **dedicated to the development and establishment of effective processes and criteria** for, and related to, the determination, validation and verification of emission reduction and sequestration projects and **to represent the members at relevant bodies** that administer the various GHG programmes that accept UNFCCC accredited bodies

DIA will

- be a forum for communication and mediation between members and UNFCCC bodies, governments, non-governmental and business associations and the general public
- be a forum for sharing experiences
- contribute pro-actively to the further development of a reliable legal basis for determination, validation and verification services

DIA and the DOE/AIE Forum (1)



DOE/AIE Forum

- all entities are members by nature
- no obligation to contribute to any activities
- Chairmen carry most of the workload and costs
- main channel of communication with EB / JISC and Secretariat

DIA and the DOE/AIE Forum (2)



DIA

- Voluntary membership
- Commitment of resources (fees and participation in working groups)
- Research work & drafting by permanent staff
- Consolidation of member's input
- DOE/AIE Forum remains main channel of communication with JISC and Secretariat
- DIA members may utilize DIA resources when appointing the Chair

Specific Inputs

Work plan – Accreditation of Independent Entities

- Annex 1: Streamlining JI Accreditation process/functioning

Streamlining JI Accreditation process/functioning (1)

DIA / AIE Forum in conclusion

- welcomes the 5 options paper as we see room for simplifications and efficiency gains
- accreditation procedure (witnessing) can be a limiting factor - however, frequency of JI-AP meetings and other resource constraints are more problematic resulting in perceived risks for PPs
- limited JI business potential/interest (not accreditation process) is main factor limiting IE interest
- 2011: period of consolidation/alignment/simplification
=> no unreasonable burdens on (prov. acting) AIE

Streamlining JI Accreditation process/functioning (2)

DIA / AE Forum – views on options

- welcomes alignment of CDM & JI accreditation processes as there is significant overlap
- Trusts that JI flexibility could be maintained
- welcomes suggestion to replace witnessing by performance assessment after accreditation – if witnessing shall remain, allow JI track 1 projects
- Accreditation standard vers. 2 requires competences and internal procedures that also fit to JI and its specific approaches

Streamlining JI Accreditation process/functioning (3)

DIA / AIE Forum – views on options

- Option 1 (few JI adjustments): no real gains, many cons
- Option 2 (aligning steps JI with steps CDM - performance assessment instead of witnessing)
- Option 3 (aligning steps JI with steps CDM – combined/joint assessments with 2 distinct AP reports)
- Option 4 (full integration of JI in CDM with single AP)
- Option 5 (delegation to IAF recognized national accreditation body): => JI track 1 (host country regulates): delays by capacity/competency ?, loss of track 2 oversight, harmonisation challenges: Post-12 only , many cons

Streamlining JI Accreditation process/functioning (4)

DIA / AIE Forum – views on options

- Preference for Option 2 (aligning steps JI with steps CDM - performance assessment instead of witnessing)
- Decision at a later stage (2012 ?) if worthwhile to move to Option 3 only (aligning steps JI with steps CDM – combined/joint assessments with 2 distinct AP reports)

or

directly towards Option 4 (full integration of JI in CDM with single AP) **with additional elements that ensure competence on JI specificities and contribute to those accreditation extra costs**

Streamlining JI Accreditation process/functioning (5)

DIA / AIE Forum – specific comments

- §17 (b) – punitive actions against PPs refusing witnessing: right approach ? Implementable ?
- §21 (c) – performance assessment triggered by 2 RfR: revise to also consider nature and outcome of RfR (performance assessment only if there were concerns regarding IE performance – justified RfR only)
- other

Comments on MAP

- AIE Forum seeks clarification on issue 7 of table 1: “Setting of time limits for each phase of the JI project cycle”
- AIE Forum considers a feedback loop (e.g. Call for Input) necessary

Priorities in 2011 for AIE Forum

- Slim lining of accreditation process (Option 2/4+)
- Contribution to a business friendly environment that ensures environmental integrity
- Proof before end of 2012 that both SDMs are a success story and could be replicated by other schemes
- Getting AIEs motivated and aligned under DIA that ensures continuity and due interaction

Martin Enderlin
Co-Chair of the DOE/AIE Forum
on behalf of TÜV SÜD

Designated Operational Entities and Independent Entities Association
Martin.Enderlin@beCe-experts.com