

Agenda item 3a

Streamlining of the JI accreditation process

Transitional measures for completing accreditation of applicant IEs that have been issued an indicative letter

JISC 25

Bonn, Germany, 21-22 June 2011



Mandate

At JISC 24:

- JISC considered further streamlining of JI accreditation process and agreed on Option 2 with additional elements of Option 3 that are easily implementable
- JISC requested the JI-AP, with support of the secretariat, to revise relevant regulatory documents accordingly for consideration at its next meeting
 - Option 2: Aligning the steps of JI accreditation procedure with the steps of CDM accreditation procedure (DR, OSA, PA, ...)
 - Option 3: Aligning the JI accreditation process (procedure, standard and functioning) with the CDM accreditation process steps and carrying out combined/joint assessment resulting in two reports for decision making of the two accreditation panels (JI and CDM)



Work update

- The secretariat prepared a draft revision of the JI accreditation procedure for JI-AP 25 (26-27 May)
- Given the significance and extent of changes, the JI-AP could not finish considering the whole draft
- Considering the priority/urgency, the JI-AP agreed to firstly recommend the JISC a set of measures to expedite accreditation of existing applicant IEs, while continue working on implementing Options 2 and 3
 - ***Transitional measures for completing accreditation of applicant IEs that have been issued an indicative letter***



Transitional measures

- Fulfil JISC’s objective to get more AIEs in the market as soon as possible while maintaining the integrity of the accreditation process
- Measures are transitional because they apply only to current applicant IEs to get them accredited; with the revised Procedure to come, these entities will be subject to other assessments for AIEs (performance assessments, regular on-site surveillance, spot-check)
- Supersede some provisions of the JI accreditation procedure for these applicant IEs
- Replace the initial witnessing activity (regarded as a bottleneck in the process) with a “focused assessment” to be undertaken immediately after the adoption of the transitional measures, aiming at completing the IE’s assessment before granting accreditation



Transitional measures (cont.)

- There are currently 10 applicant IEs that have been issued an indicative letter but have not completed the initial accreditation process:
 - 1 applicant IE completed a witnessing activity, and JI-AP recommendation on accreditation submitted to JISC 25
 - 2 applicant IEs are undergoing a witnessing activity
 - IEs may decide to continue the witnessing activity, or switch to a focused assessment
 - 7 applicant IEs have not yet initiated a witnessing activity
 - These IEs are subject to a focused assessment
- A focussed assessment will be initiated by the JI-AP/secretariat/JI-ATs (no need to wait for IEs to submit a project to be witnessed)
- After all these assessments, 10 new AIEs could be in the market



Rational for a focused assessment

1. On-site assessments for these 7 applicant IEs have been completed in 2008 or 2009
 - Quite a long time ago
 - IEs' systems, processes and staff might have changed significantly since
2. When the on-site assessments were carried out, it was part of the process assuming that an initial witnessing activity would follow to complete assessment of competence and implementation of systems
 - Full assessment of these two areas are still to be completed
3. The JI accreditation standard has been adopted since the completion of the on-site assessments
 - Contains some new requirements



Scope of a focused assessment

- Applicant IE's compliance with the JI accreditation standard (ver.01), with a particular focus on:
 - JI specific areas in performing determinations and verifications regarding JI projects (e.g. competence in conducting determinations and verifications, implementation of systems)
 - Changes that have occurred since the last on-site assessment conducted prior to the issuance of the indicative letter



JI-AP's recommendation to the JISC

- Adopt the transitional measures, with immediate effect
- Request the JI-AP and the secretariat to undertake as soon as possible focused assessments for the 7 applicant IEs (and any of the 3 IEs who opted for a focused assessment)



Input from JI roundtable

- Urge to have more AIEs in the market as soon as possible
- A radical solution: Accredite all applicant IEs with indicative letter immediately without any additional assessment! (and check their performance of the first determination/verification submitted to the JI project cycle)

