

Agenda item 4a

Analysis of National Guidelines and Procedures for Approving JI Projects

JISC 26

Bonn, 13-14 September 2011



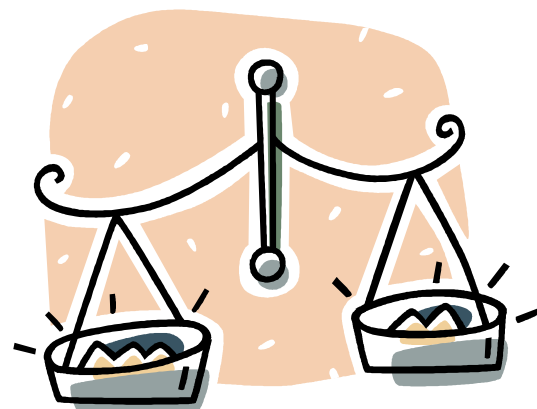
Overview

- **JISC 25 Mandate**
- **General Aspects**
- **Parameters Analyzed**
- **JI Host or Investor**
- **Track 1 and/or Track 2**
- **LoA, Fees, PDD, AIE**
- **Conclusions**



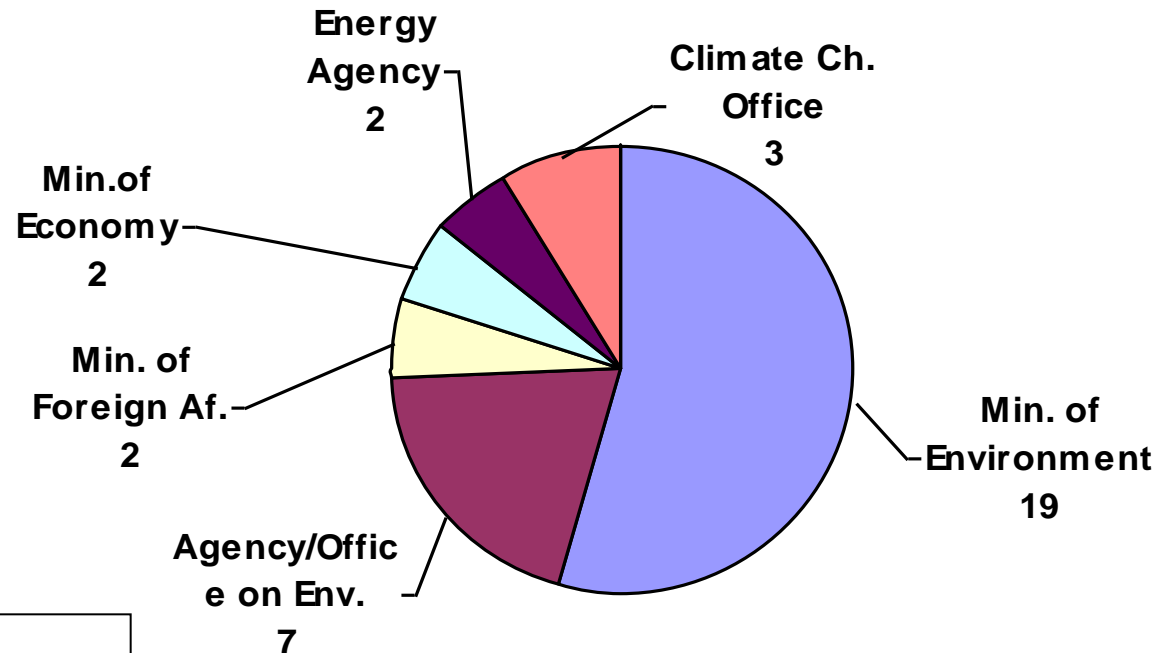
JISC 25 Mandate

- JISC discussion on **recommendations** on options for building on the approach embodied in JI – **first review of JI guidelines**
- JISC requested secretariat to prepare a **summary of national procedures** for approving JI projects (Tracks 1 & 2) incl. a **comparison analysis**



General Aspects

- **35 Parties involved in JI** (DFP designated)
- **30 Parties** with **National Guidelines** or Procedures



DFPs Institutions



Parameters Analyzed

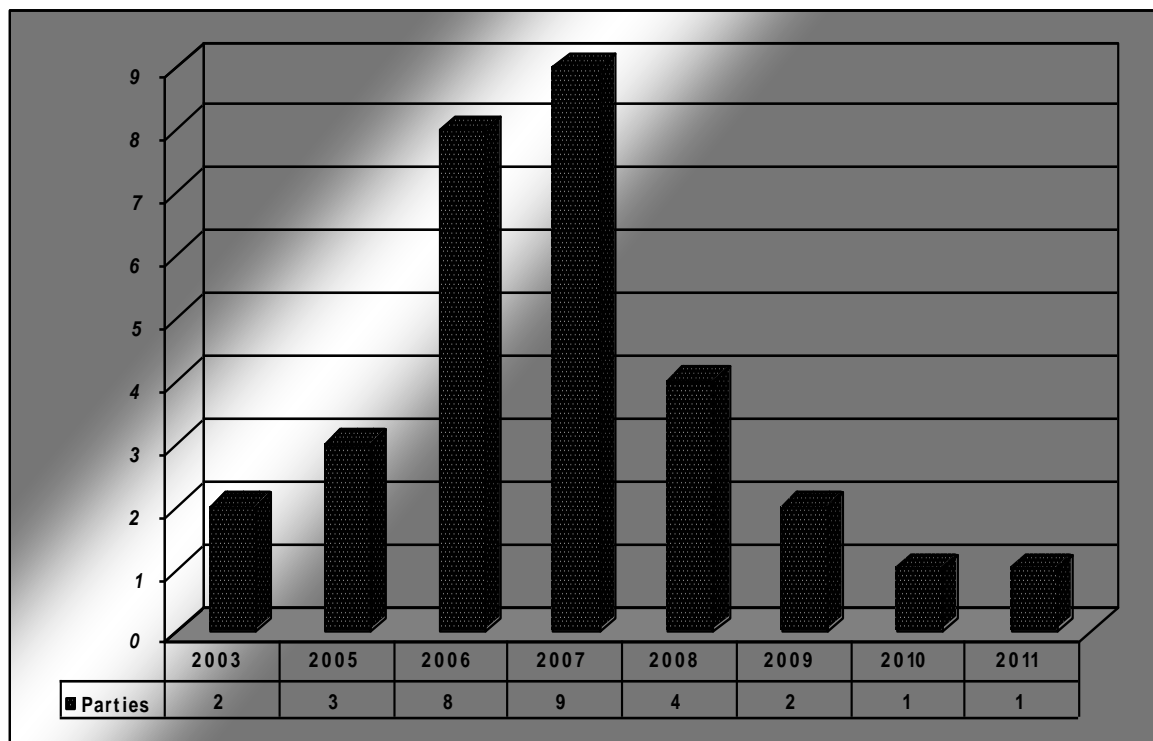
- Institution hosting DFP
- Approval procedures (established date)
- Parties acting as Host or Investor in JI
- Selecting Track 1 and/or Track 2 procedure
- Issuing Letter of Approval
- Applying fees for approval
- PDD model used
- Requesting AIE



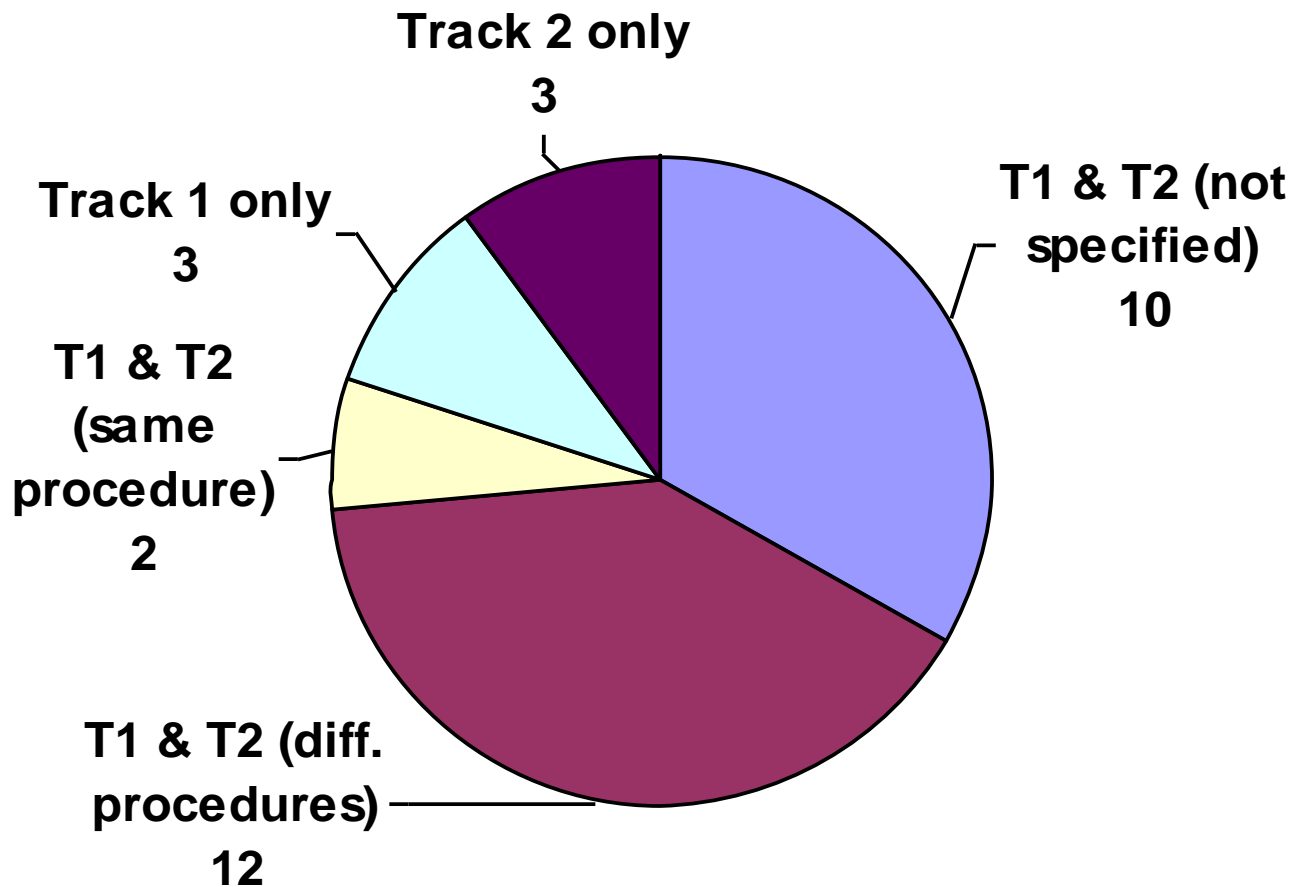
Parties Acting as Host/Investor in JI

- **30** Parties with **approval procedures**
- **2** Parties with **separate approval documents** on Track 1 and Track 2
- **7** Parties only **hosting JI**
- **11** Parties only **investing**
- **12** Parties **doing both**

Year of approval

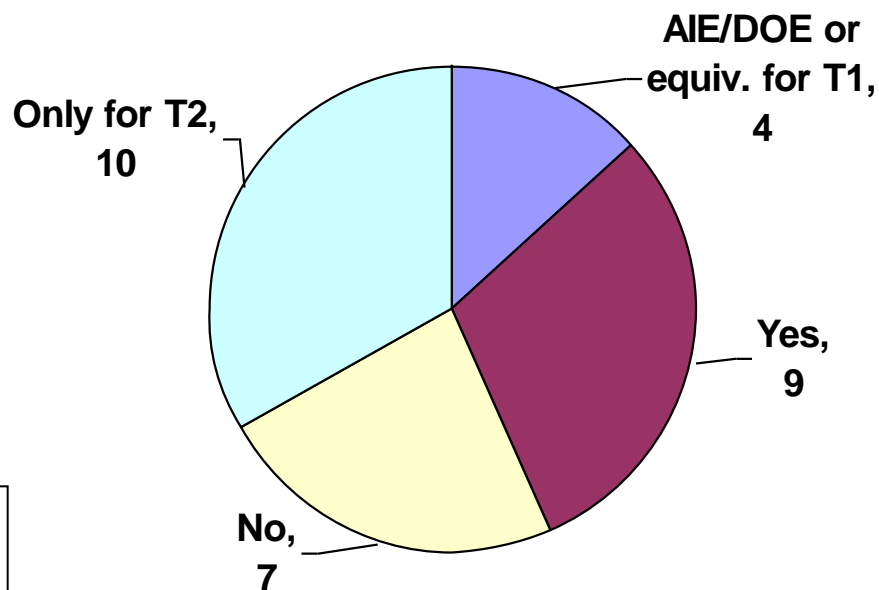


Track 1 and/or Track 2 Procedure



LoA, Fees, PDD, AIE

- **28** Parties - **Letter of Approval is specified** (to be provided to PPs)
- **23** Parties - **do not require fees** for processing submissions
- **24** Parties - **require PDD**; add. 3 Parties accept also a T1 similar document



Request for AIEs



Conclusions

- **National procedures and/or guidelines:**
 - Process for **internal approval of projects** and issuing LoAs to PPs
 - Process for **approval of external projects** and authorizing PPs
 - Define **terms**; establish **steps**; require **documents**; present **deadlines**
- Some Parties (host) - mandatory/voluntary **process for LoE**, based on PIN
- Most of investor Parties - **require LoA from host** before approving
- **Track 1/Track 2** - decided by **host Parties** (investors accept)
- Most procedures - **do not include** guidance for baseline setting & monitoring



Thank you

