



AIE REPORT FORM

(version 03)

(to be completed by an AIE requesting the publication of a determination pursuant to paragraph 33 of the JI guidelines)

Accredited independent entity (AIE) submitting form

Proposed JI activity

Reference number and title of JI activity

Host Party(ies)

Other Parties involved in the JI activity

Project participants

Coordinating entity (*applicable to JI PoA only*)

Type of JI activity: large-scale small-scale LULUCF PoA

Brief description of the JI activity

Determination report

Please confirm that all requirements of Article 6 of the Kyoto Protocol, the JI guidelines and further relevant requirements defined by the CMP or the JISC with regard to determinations pursuant to paragraph 33 of the JI guidelines are met:

- Yes
- No

General information on determination

Please describe:

- The scope of the determination process, including all documentation reviewed, and list the names of persons interviewed during the determination process, as applicable;
- The AIE's determination team, including a list of all persons involved in the determination process and a description of the functions assumed.

Description of determination process

Please briefly describe and refer to:

- The review of the JI PDD/PoA DD and additional documentation attached to it;
- The assessment against JI requirements, e.g. by using a determination protocol;
- The report of findings by the AIE, including the use of different types of findings (e.g. corrective action requests, clarifications or observations etc.).

Statements or assessments should be included in section "Conclusions, final comments and determination opinion".

<u>Comments received from Parties, stakeholders and UNFCCC accredited observers</u>	
Please:	
<ul style="list-style-type: none"> ➤ Summarise the comments received pursuant to paragraph 32 of the JI guidelines; and ➤ Provide a report of how due account was taken of these comments. 	
<u>Conclusions, final comments and determination opinion</u>	
Please provide:	
<ul style="list-style-type: none"> ➤ Conclusions describing how each of the requirements of Article 6 of the Kyoto Protocol and the JI guidelines and further relevant requirements defined by the CMP or the JISC, in particular those referred to in paragraph 33 of the JI guidelines, have been met, including assessments and findings (e.g. corrective action requests, clarifications or observations) related to each requirement, and statements on whether all issues raised have been addressed to the AIE's satisfaction; ➤ Final comments and a determination opinion. 	
<u>List of documents attached to the determination report form</u>	
Please attach relevant documents used in the determination process and mark below accordingly	
<ul style="list-style-type: none"> <input type="checkbox"/> PDD/PoA DD of the proposed JI activity <input type="checkbox"/> Determination report <input type="checkbox"/> Written approvals by the Parties involved, listed in the JI PDD/PoA DD <input type="checkbox"/> Other relevant documents: <ul style="list-style-type: none"> <input type="checkbox"/> Determination protocol <input type="checkbox"/> List of persons interviewed <input type="checkbox"/> Any other documents (please list): 	
The AIE herewith declares that undertaking the determination for the proposed JI activity referred to above does not constitute a conflict of interest which is incompatible with the role of an AIE	
Operational manager signing for the AIE	
Date and signature	



JISC APPRAISAL FORM

(version 03)

(this form shall be completed by a JISC member/alternate member preparing an appraisal of a determination)

C O N F I D E N T I A L

JISC member/alternate member submitting the form

Reference number and title of proposed JI activity

AIE that performed the determination

Appraisal

Please respond to the questions presented below by marking the appropriate check box.

Yes	No	
<input type="checkbox"/>	<input type="checkbox"/>	➤ Have all the requirements of Article 6 of the Kyoto Protocol and the JI guidelines and further relevant requirements been fulfilled and appropriately addressed by the AIE?
		➤ Has the AIE determined appropriately that the proposed JI activity:
<input type="checkbox"/>	<input type="checkbox"/>	▪ has been approved by the Parties involved, listed in the JI PDD/PoA DD?
<input type="checkbox"/>	<input type="checkbox"/>	▪ would result in a reduction of anthropogenic emissions by sources or an enhancement of anthropogenic removals by sinks that is additional to any that would otherwise occur?
<input type="checkbox"/>	<input type="checkbox"/>	▪ has an appropriate baseline and monitoring plan in accordance with the criteria set out in appendix B of the JI guidelines?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE confirmed that the project participants have submitted documentation on the analysis of the environmental impacts of the proposed JI activity, including transboundary impacts, in accordance with procedures as determined by host Party, and, if those impacts are considered significant by the project participants or host Party, have undertaken an environmental impact assessment in accordance with procedures required by host Party?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE made its determination publicly available through the secretariat together with an explanation of its reasons, including a summary of the comments received and a report of how due account was taken on these?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE confirmed that the eligibility criteria for inclusion of JPAs have been fulfilled and the operational and management arrangements for the implementation of the JI PoA have been established by the coordinating entity? (<i>applicable to JI PoA only</i>)

Please describe in detail all the issues identified, including the specific JI requirements (e.g. guidelines, guidance, decisions, rules, etc.) that you consider not fulfilled, evaluate the overall quality of the submission, and suggest means to address the issues, if appropriate.

Baseline and monitoring methodology

➤

Demonstration of additionality

➤

Estimation of the emission reductions

➤

Other aspects

➤

Date and signature



EXPERT APPRAISAL FORM

(version 03)

(this form shall be completed by an expert providing an appraisal of a determination)

C O N F I D E N T I A L

Expert submitting the form:

Background

1. The requirements of Article 6 of the Kyoto Protocol, the JI guidelines, and relevant CMP and JISC requirements regarding determinations pursuant to paragraph 33 of the JI guidelines have to be met and appropriately addressed by the accredited independent entity (AIE).
2. Project participants shall submit to an AIE a JI PDD/PoA DD that contains all the information needed for the determination of whether the proposed JI activity fulfills the requirements in paragraph 31 of the JI guidelines.
3. The AIE shall determine whether the proposed JI activity meets the conditions in paragraph 33 of the JI guidelines.
4. The AIE shall make its determination publicly available through the secretariat, together with an explanation of its reasons, including a summary of comments received and a report of how due account was taken of these (paragraph 34 of the JI guidelines).
5. Where applicable, the AIE shall take into consideration paragraphs 26–30 of the procedures for programmes of activities under the verification procedure under the JISC (JI PoA procedures, version 1).

JI activity

Reference number and title of proposed JI activity

Party(ies) involved

Project participant(s)

Coordinating entity (*applicable to JI PoA only*)

Type of JI activity: large-scale small-scale LULUCF PoA

Brief description of the JI activity

Length of crediting period (DD/MM/YYYY-DD/MM/YYYY)

Annual average of estimated emission reductions/enhancements of removals over the crediting period (tonnes of CO₂ equivalent)

AIE that performed the determination

Input on Appraisal

Please respond to the questions presented below by marking the appropriate check box.

Yes	No	
<input type="checkbox"/>	<input type="checkbox"/>	➤ Have all the requirements of Article 6 of the Kyoto Protocol and the JI guidelines and further relevant requirements been fulfilled and appropriately addressed by the AIE?
		➤ Has the AIE determined appropriately that the proposed JI activity:
<input type="checkbox"/>	<input type="checkbox"/>	▪ has been approved by the Parties involved listed in the JI PDD/PoA DD?
<input type="checkbox"/>	<input type="checkbox"/>	▪ would result in a reduction of anthropogenic emissions by sources or an enhancement of anthropogenic removals by sinks that is additional to any that would otherwise occur?
<input type="checkbox"/>	<input type="checkbox"/>	▪ has an appropriate baseline and monitoring plan in accordance with the criteria set out in appendix B of the JI guidelines?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE confirmed that the project participants have submitted documentation on the analysis of the environmental impacts of the proposed JI activity, including transboundary impacts, in accordance with procedures as determined by the host Party, and, if those impacts are considered significant by the project participants or the host Party, have undertaken an environmental impact assessment in accordance with procedures as required by the host Party?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE made its determination publicly available through the secretariat together with an explanation of its reasons, including a summary of the comments received and a report of how due account was taken on these?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE confirmed that the eligibility criteria for inclusion of JPAs have been fulfilled and the operational and management arrangements for the implementation of the JI PoA have been established by the coordinating entity? (<i>applicable to JI PoA only</i>)

Please provide an assessment of the determination, including a detailed description of the aspects checked and analyzed, and evaluate the overall quality of the submission. If applicable, please describe in detail any JI requirements (e.g. guidelines, guidance, decisions, rules, etc.) that have not been met, and suggest means to address the issues.

General description of aspects analyzed

➤

Baseline and monitoring methodology

➤

Demonstration of additionality

➤

Estimation of emission reductions/enhancements

➤

Other aspects

➤

Date and signature



JISC APPRAISAL FORM

(version 03)

(this form shall be completed by a JISC member/alternate member preparing an appraisal of a determination)

C O N F I D E N T I A L

JISC member/alternate member submitting the form

Reference number and title of proposed JI activity

AIE that performed the determination

Appraisal

Please respond to the questions presented below by marking the appropriate check box.

Yes	No	
<input type="checkbox"/>	<input type="checkbox"/>	➤ Have all the requirements of Article 6 of the Kyoto Protocol and the JI guidelines and further relevant requirements been fulfilled and appropriately addressed by the AIE?
		➤ Has the AIE determined appropriately that the proposed JI activity:
<input type="checkbox"/>	<input type="checkbox"/>	▪ has been approved by the Parties involved, listed in the JI PDD/PoA DD?
<input type="checkbox"/>	<input type="checkbox"/>	▪ would result in a reduction of anthropogenic emissions by sources or an enhancement of anthropogenic removals by sinks that is additional to any that would otherwise occur?
<input type="checkbox"/>	<input type="checkbox"/>	▪ has an appropriate baseline and monitoring plan in accordance with the criteria set out in appendix B of the JI guidelines?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE confirmed that the project participants have submitted documentation on the analysis of the environmental impacts of the proposed JI activity, including transboundary impacts, in accordance with procedures as determined by host Party, and, if those impacts are considered significant by the project participants or host Party, have undertaken an environmental impact assessment in accordance with procedures required by host Party?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE made its determination publicly available through the secretariat together with an explanation of its reasons, including a summary of the comments received and a report of how due account was taken on these?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE confirmed that the eligibility criteria for inclusion of JPAs have been fulfilled and the operational and management arrangements for the implementation of the JI PoA have been established by the coordinating entity? (<i>applicable to JI PoA only</i>)

Please describe in detail all the issues identified, including the specific JI requirements (e.g. guidelines, guidance, decisions, rules, etc.) that you consider not fulfilled, evaluate the overall quality of the submission, and suggest means to address the issues, if appropriate.

Baseline and monitoring methodology

➤

Demonstration of additionality

➤

Estimation of the emission reductions

➤

Other aspects

➤

Date and signature

<p>If yes, please <u>list all revisions</u> to the project design and express a determination opinion (or provide a reference to an attached document containing the required information)</p>	<p><input type="checkbox"/> N/A</p>
<p>If project design was revised in the current monitoring period, please <u>confirm</u> that conditions defined in JI guidelines (paragraph 33) are still met</p>	<p><input type="checkbox"/> N/A <input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>If project design was revised in the current monitoring period, please <u>confirm</u> that the changes do not alter the original determination opinion</p>	<p><input type="checkbox"/> N/A <input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>If project design was revised in the current monitoring period, please <u>confirm</u> that:</p> <p>(a) Physical location of the project has not changed</p> <p>(b) If emission sources have changed, they are reflected in an updated monitoring plan</p> <p>(c) Baseline scenario has not changed</p> <p>(d) Changes are consistent with JI specific approach or CDM methodology upon which the determination was prepared for the project</p>	<p><input type="checkbox"/> N/A <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> N/A <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> N/A <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> N/A <input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>The <u>monitoring plan</u> was revised in the current monitoring period</p> <p><i>If yes, please specify for which technologies and measures under each type of JPA the monitoring plan was revised (applicable to JI PoA only)</i></p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>The current <u>revisions to the monitoring plan</u> improve the accuracy and/or applicability of information collected, compared to the original monitoring plan without changing conformity with the relevant rules and regulations for establishing monitoring plans</p>	<p><input type="checkbox"/> N/A <input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p><u>List of documents attached to the verification report form</u></p>	
<p>Please attach relevant documents used in the verification process and mark below accordingly</p>	
<p><input type="checkbox"/> Verification report</p> <p><input type="checkbox"/> Written approvals by the Parties involved, if applicable</p> <p><input type="checkbox"/> Other relevant documents:</p> <p><input type="checkbox"/> Document listing all revisions to the project design, if applicable</p> <p><input type="checkbox"/> Determination that the revisions to the <u>project design</u>, if applicable, do not alter the original determination opinion and that the conditions defined by paragraph 33 of the JI guidelines are still met</p> <p><input type="checkbox"/> Revised monitoring plan, if applicable</p> <p><input type="checkbox"/> Determination that the revisions to the <u>monitoring plan</u>, if applicable, improve the accuracy and/or applicability of information collected, compared to the original monitoring plan without changing conformity with relevant rules and regulations for establishing monitoring plans</p> <p><input type="checkbox"/> Sampling plan, if applicable (<i>JI PoA only</i>)</p> <p><input type="checkbox"/> Any other documents (please list):</p>	
<p>The AIE herewith declares that undertaking the verification for the JI activity referred to above does not constitute a conflict of interest which is incompatible with the role of an AIE</p>	
<p>Operational manager signing for the AIE</p>	
<p>Date and signature</p>	



JISC APPRAISAL FORM

(version 03)

(this form shall be completed by a JISC member/alternate member preparing an appraisal of a verification)

C O N F I D E N T I A L

JISC member/alternate member submitting the form

Reference number and title of proposed JI activity

AIE that performed the verification

Appraisal

Please respond to the questions presented below by marking the appropriate check box.

Yes	No	
<input type="checkbox"/>	<input type="checkbox"/>	➤ Have all the requirements of Article 6 of the Kyoto Protocol and the JI guidelines and further relevant requirements been fulfilled and appropriately addressed by the AIE?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE verified appropriately the reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks reported by project participants in accordance with appendix B of the JI guidelines, and the fact that they were monitored and calculated in accordance with paragraph 33 of the JI guidelines?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE made its verification under paragraph 37 of the JI guidelines publicly available through the secretariat together with an explanation of its reasons (including the sampling plan for JI PoA, if applicable)?

Please describe in detail all the issues identified, including the specific JI requirements (e.g. guidelines, guidance, decisions, rules, etc.) that you consider not fulfilled, evaluate the overall quality of the submission, and suggest means to address the issues, if appropriate.

Project implementation, including changes

➤

Compliance with Monitoring Plan, including revisions

➤

Verification Opinion

➤

Estimation/calculation of emission reductions

➤

Other aspects

➤

Date and signature



EXPERT APPRAISAL FORM

(version 01)

(this form shall be completed by an expert providing an appraisal of a verification)

C O N F I D E N T I A L

Expert submitting the form:

Background

1. The requirements of Article 6 of the Kyoto Protocol, the JI guidelines and relevant CMP and JISC requirements regarding verifications (paragraph 37 of the JI guidelines) have to be met and appropriately addressed by the AIE.
2. Project participants shall submit to an AIE a report in accordance with the monitoring plan on reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks that have already occurred, as provided for in paragraph 36 of the JI guidelines. The report shall be made publicly available.
3. The AIE shall perform a verification of the reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks reported by project participants, provided that they were monitored and calculated in accordance with paragraph 33 of the JI guidelines (paragraph 37 of the JI guidelines).
4. The AIE shall make its verification publicly available through the secretariat, together with an explanation of its reasons, in accordance with paragraph 38 of the JI guidelines.
5. Where applicable, the AIE shall take into consideration paragraphs 41–55 of the procedures for programmes of activities under the verification procedure under the JISC (JI PoA procedures, version 1).
6. The AIE shall observe the standard for applying the concept of materiality in verifications (version 1).

JI activity

Reference number and title of proposed JI activity

Parties involved

Project participants

Coordinating entity (*applicable to JI PoA only*)

Type of JI activity: large-scale small-scale LULUCF PoA

Length of crediting period (DD/MM/YYYY-DD/MM/YYYY)

AIE that performed the determination of PDD/PoA
DD

Annual ERs average estimated at PDD
determination

Emission reductions/Enhancements of removals

Monitoring report ref. number as in JI Infosystem

Monitoring period(s) (DD/MM/YYYY - DD/MM/YYYY)

AIE that performed the verification

Present verification is the first for this JI activity? Yes No

Verified emissions reductions/enhancements of
removals (tCO₂e)

AIE included in its verification opinion a statement
confirming that emission reductions are accurate,
free of material errors, omissions or misstatements? Yes No

Monitoring plan was revised?		<input type="checkbox"/> Yes	<input type="checkbox"/> No
<i>If yes, please inform for which technologies and measures under each type of JPA the plan was revised (JI PoA only)</i>			
Monitoring plan revisions improve accuracy and/or applicability of information collected compared to the original plan without changing conformity with rules and regulations for establishing monitoring plans?		<input type="checkbox"/> Yes	<input type="checkbox"/> No <input type="checkbox"/> N/A
Changes occurred to the project after determination of PDD and project participants reported them in the present monitoring report? (according to Procedures regarding changes during project implementation)		<input type="checkbox"/> Yes	<input type="checkbox"/> No <input type="checkbox"/> N/A.
If changes occurred, AIE has listed all changes in the Verification report form and expressed a positive determination opinion or provided a separate document presenting all changes and a positive determination opinion?		<input type="checkbox"/> Yes	<input type="checkbox"/> No <input type="checkbox"/> N/A.
Applicable to JI PoA only	Verification period (DD/MM/YYYY-DD/MM/YYYY)	<input type="checkbox"/> N/A	
	Reference number of JPAs verified	<input type="checkbox"/> N/A	
	Sampling approach used to verify the PoA	<input type="checkbox"/> N/A	<input type="checkbox"/> Yes <input type="checkbox"/> No
Input on Appraisal			
Please answer the questions presented below by marking the appropriate check box.			
Yes	No		
<input type="checkbox"/>	<input type="checkbox"/>	➤ Have all the requirements of Article 6 of the Kyoto Protocol and the JI guidelines and further relevant requirements been fulfilled and appropriately addressed by the AIE?	
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE verified appropriately the reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks reported by project participants in accordance with appendix B of the JI guidelines, and the fact that they were monitored and calculated in accordance with paragraph 33 of the JI guidelines?	
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE made its verification under paragraph 37 of the JI guidelines publicly available through the secretariat together with an explanation of its reasons (including the sampling plan for JI PoA, if applicable)?	
Please provide an assessment of the verification, including a detailed description of the aspects checked and analyzed, and evaluate the overall quality of the submission. If applicable, please describe in detail any JI requirements (e.g. guidelines, guidance, decisions, rules, etc.) that have not been met, and suggest means to address the issues.			
<u>General description of aspects analyzed</u>			
➤			
<u>Project implementation, including changes</u>			
➤			
<u>Compliance with Monitoring Plan, including revisions</u>			
➤			
<u>Verification Opinion</u>			
➤			
<u>Estimation/calculation of emission reductions/enhancements</u>			
➤			
<u>Other aspects</u>			
➤			
Date and signature			



PARTY/JISC REVIEW FORM

(version 03)

(by submitting this form, a Party involved in a JI activity (through DFP) or a JISC member requests a review)

Party involved (DFP) / JISC member submitting the form:

Reference number and title of the proposed JI activity:

AIE that performed the verification

Type of JI activity: **large-scale** **small-scale** **LULUCF** **PoA/JPA**

Background

1. The requirements of Article 6 of the Kyoto Protocol, the JI guidelines and relevant CMP and JISC requirements regarding verifications (paragraph 37 of the JI guidelines) have to be met and appropriately addressed by the AIE.
2. Project participants shall submit to an AIE a report in accordance with the monitoring plan on reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks that have already occurred, as provided for in paragraph 36 of the JI guidelines. The report shall be made publicly available.
3. The AIE shall perform a verification of the reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks reported by project participants, provided that they were monitored and calculated in accordance with paragraph 33 of the JI guidelines (paragraph 37 of the JI guidelines).
4. The AIE shall make its verification publicly available through the secretariat, together with an explanation of its reasons, in accordance with paragraph 38 of the JI guidelines.
5. Where applicable, the AIE shall take into consideration paragraphs 41–55 of the procedures for programmes of activities under the verification procedure under the JISC (JI PoA procedures, version 1).
6. The AIE shall observe the standard for applying the concept of materiality in verifications (version 1).

Request for review

Please respond to the questions presented below by marking the appropriate check box:

<u>Yes</u>	<u>No</u>	
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE verified appropriately the reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks reported by project participants in accordance with appendix B of the JI guidelines, and the fact that they were monitored and calculated in accordance with paragraph 33 of the JI guidelines?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE made its verification under paragraph 37 of the JI guidelines publicly available through the secretariat together with an explanation of its reasons (including the sampling plan for JI PoA, if applicable)?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the coordinating entity of the JI PoA included only JPA(s) that appear to meet all the eligibility requirements defined in the JI PoA DD? (<i>applicable to JI PoA only</i>)

Please describe in detail the reasons for requesting the review, including the specific JI requirements (e.g. guidelines, guidance, decisions, rules, etc.) that you consider not fulfilled, and attach supporting documentation, if appropriate.

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